



February 17, 2020

# Self-powered Internet-of-Things Nonvolatile Processor and System Exploration and Optimization

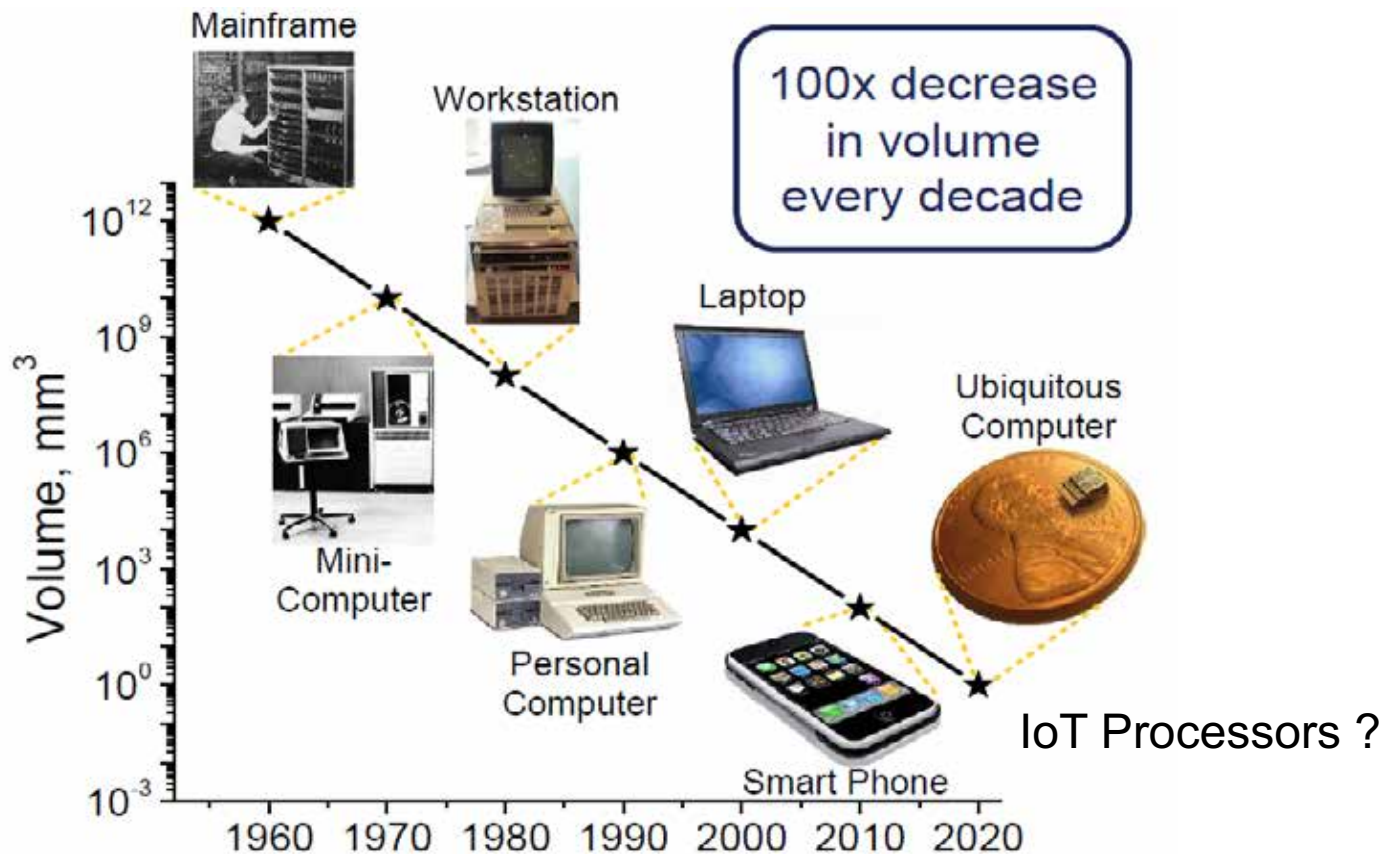
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*Kaisheng Ma - Pennsylvania State University*

*Advisors: Dr. Vijay Nanrayanan and Dr. Jack Sampson - The Pennsylvania State University*

*Dr. Yuan Xie - University of California at Santa Barbara*

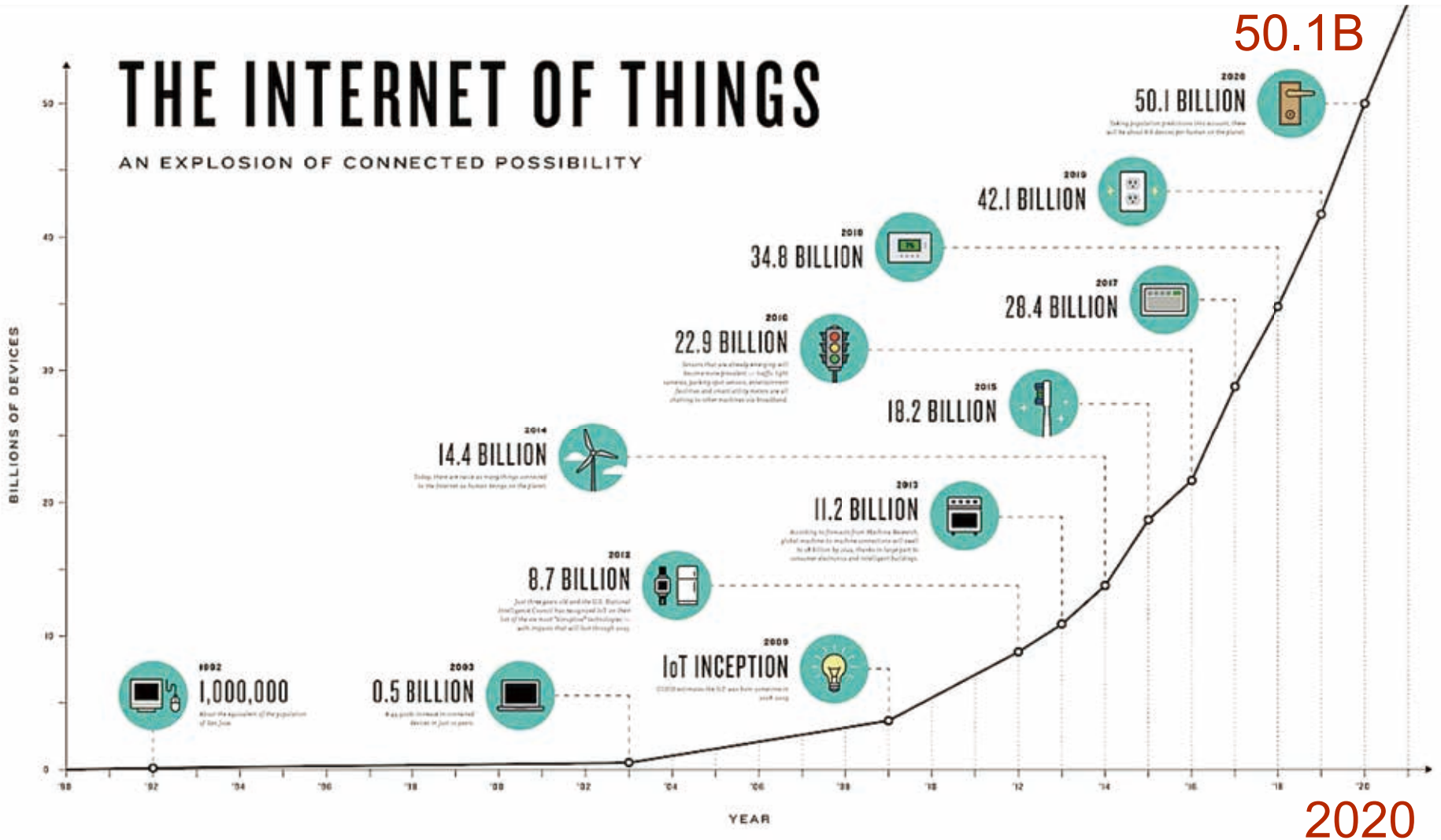
# Trend of Internet of Things - Volume Decreases



The IoT device is becoming smaller and smaller, it can be so small that there is limited space for battery !

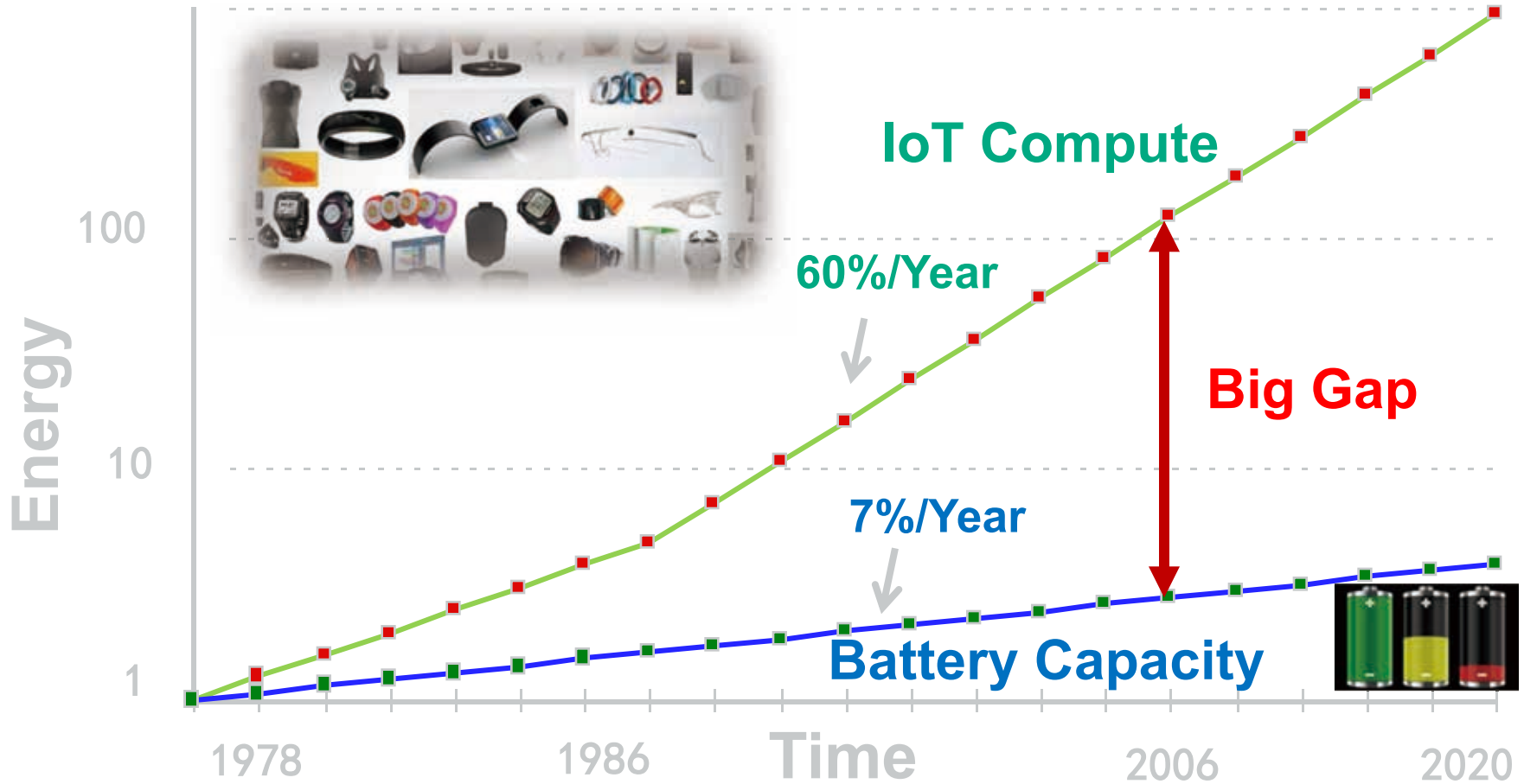


# Trend of Internet of Things - Device Number Increases



❖ Source: Silicon Labs, Thomson Reuters, Morgan Stanley, Goldman Sachs

# Power Challenge for IoTs



The development of battery capacity is much slower than energy requirement of IoT devices !

❖ Source: ISSCC 2015 Technology Trend





# Challenges of Battery

Safety  
Considerations



Pollution



Difficult  
Maintains



Limited  
Volume  
Frequent  
Charging

Distributed, limited volume, massive, and safety concerns  
- **New Challenges for battery from IoT**



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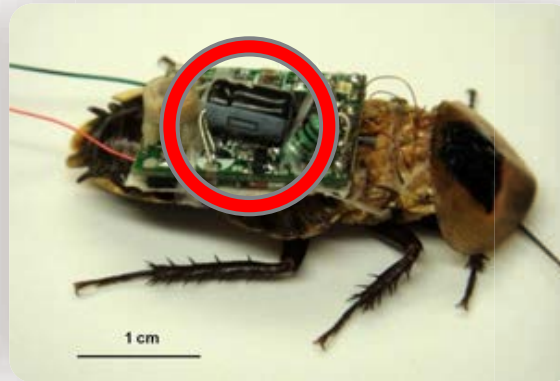
**Can some devices survive without charging batteries ?**



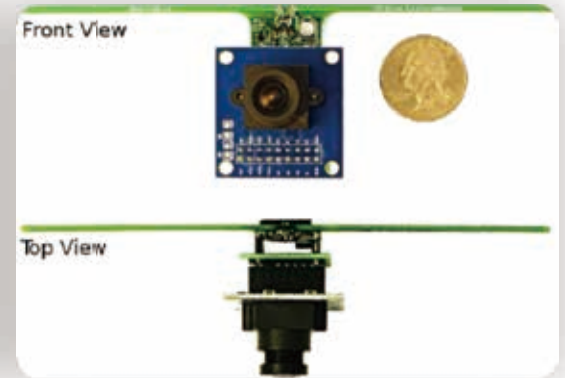
# Promising Energy Harvesting Solutions



Dragonfly Tracking –  
University of Washington (UW)



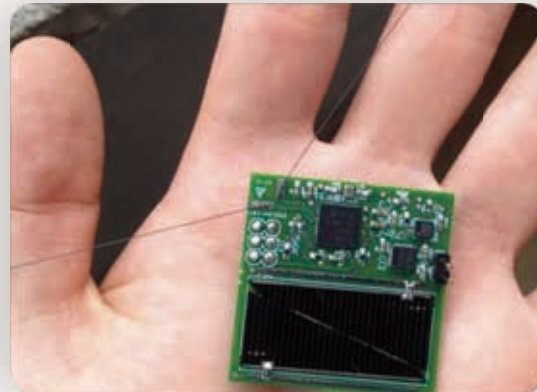
DARPA, N66001-07-1-2006.



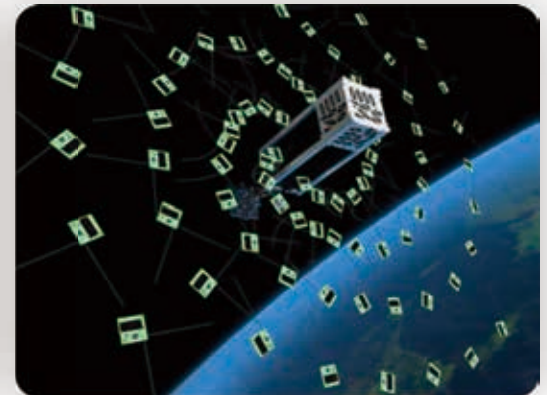
WispCam UW Seattle.



Google Contact Lens



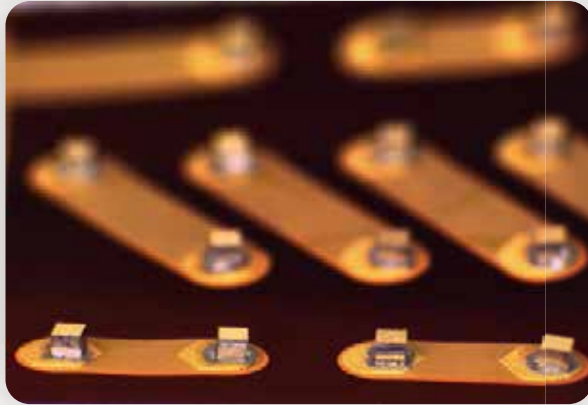
Smallest-Ever Working Satellites and Status in Orbit



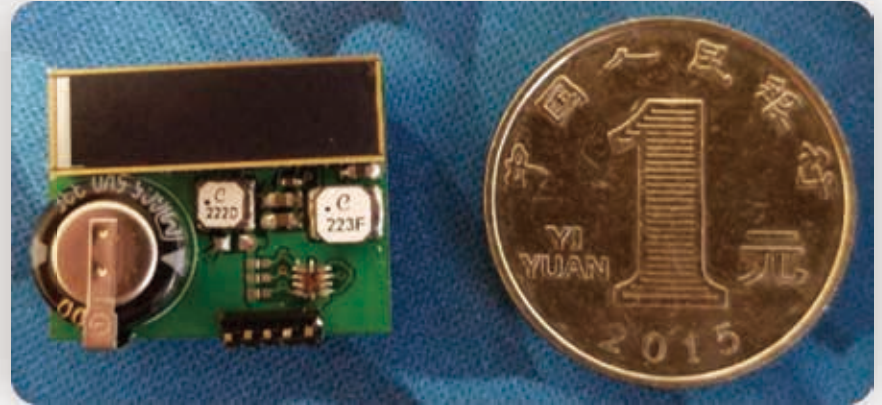
# Ambient Energy Harvesting Sources



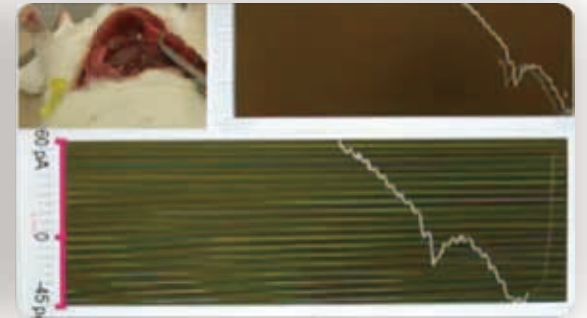
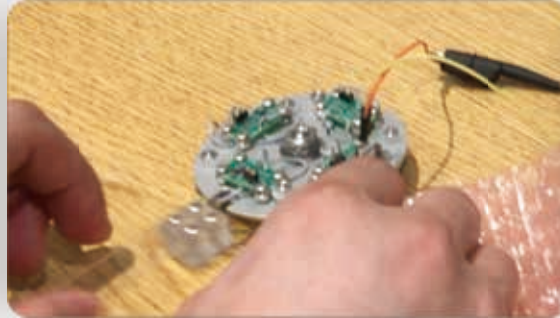
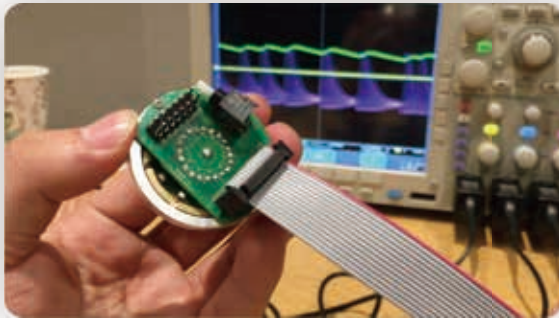
RF Energy  
(PSU)



Thermal Energy  
(ASSIT@NCSU)



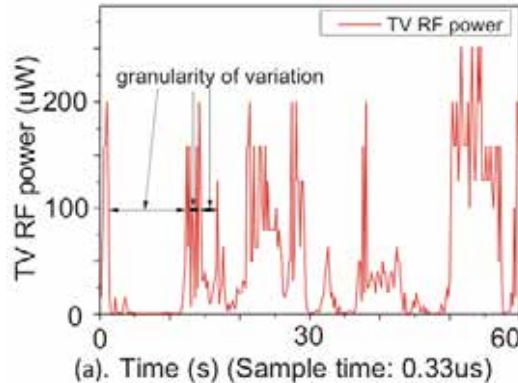
Solar Energy  
(PSU)



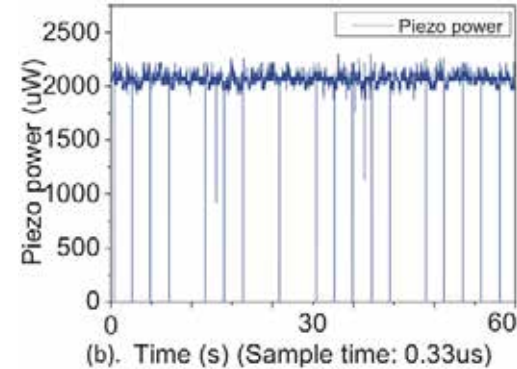
Piezoelectric/Vibration Energy (ASSIT@Utah / Georgia Tech. )



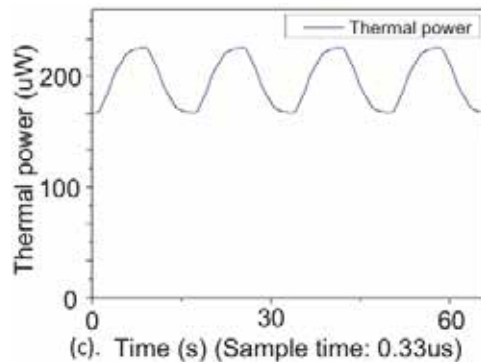
# Challenge: Unstable Power with Different Signal Magnitude, Variability and Granularity



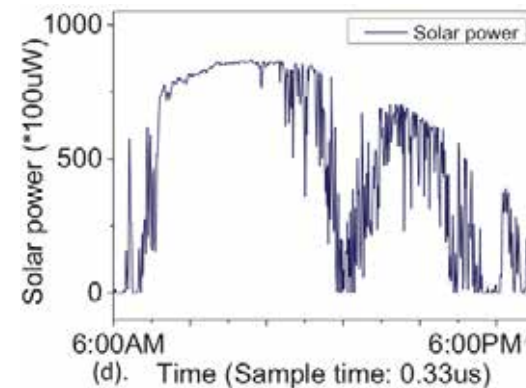
**TV RF: Unstable & large variation**



**Piezo: Periodical**



**Thermal: Relatively stable**



**Solar: Environment dependent**

From Energy Harvesting Source Directly to Load? Free Lunch?  
**All the energy sources are unstable!**



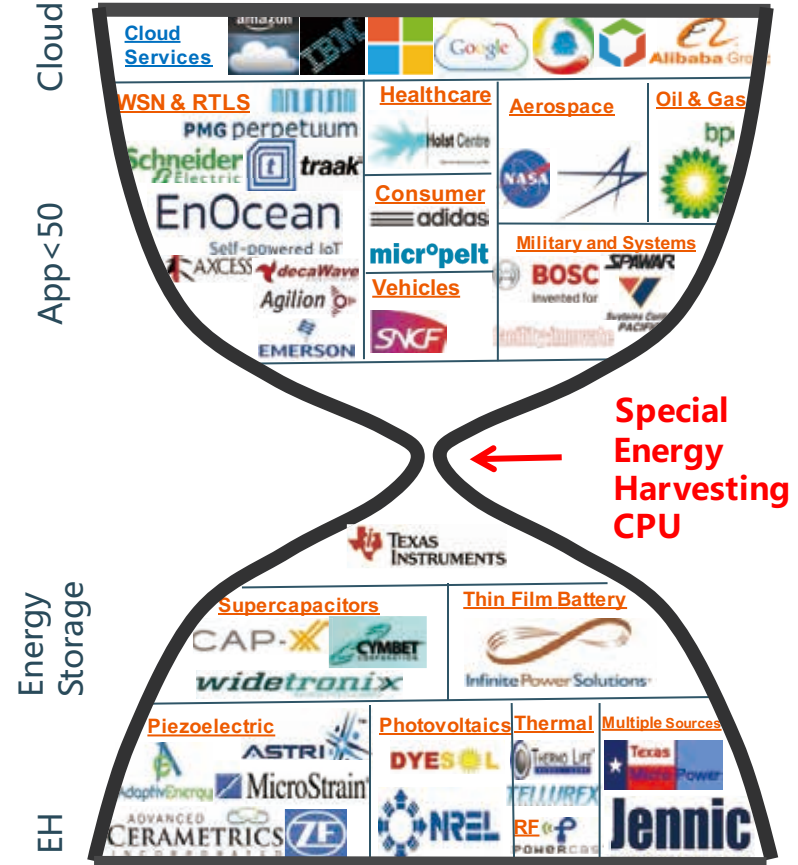
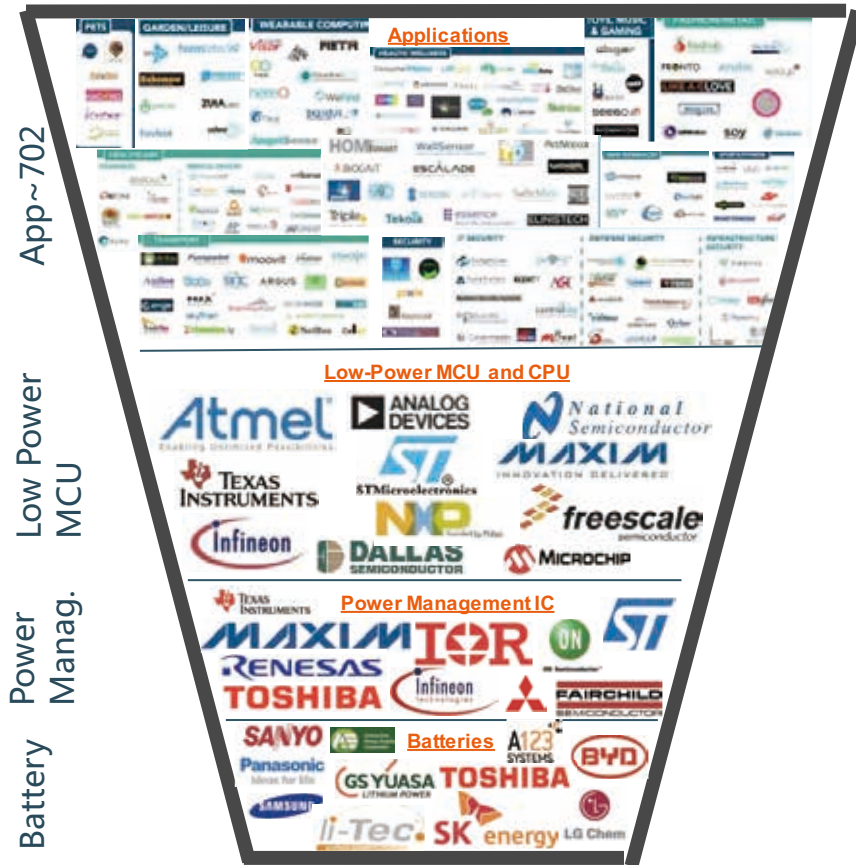


# The Industry Situation for Energy Harvesting

First Gen. Battery-powered IoT



Second Gen. Self-powered IoT



Because of the bottleneck in special EH CPU, currently system have to be designed with low power MCUs.

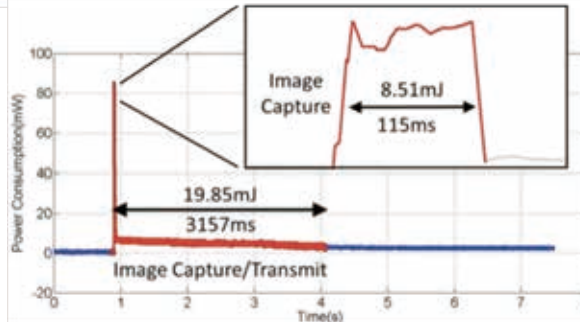
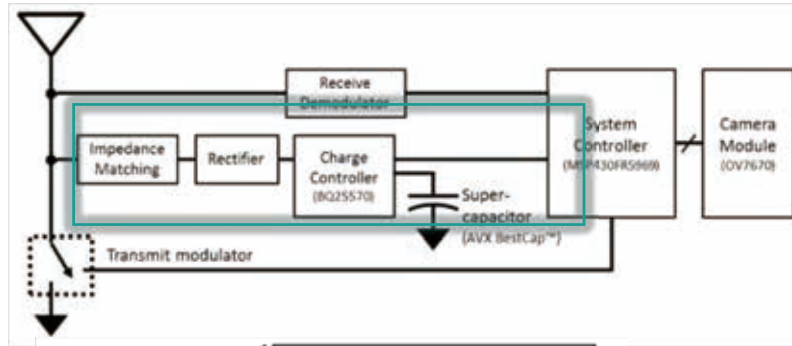




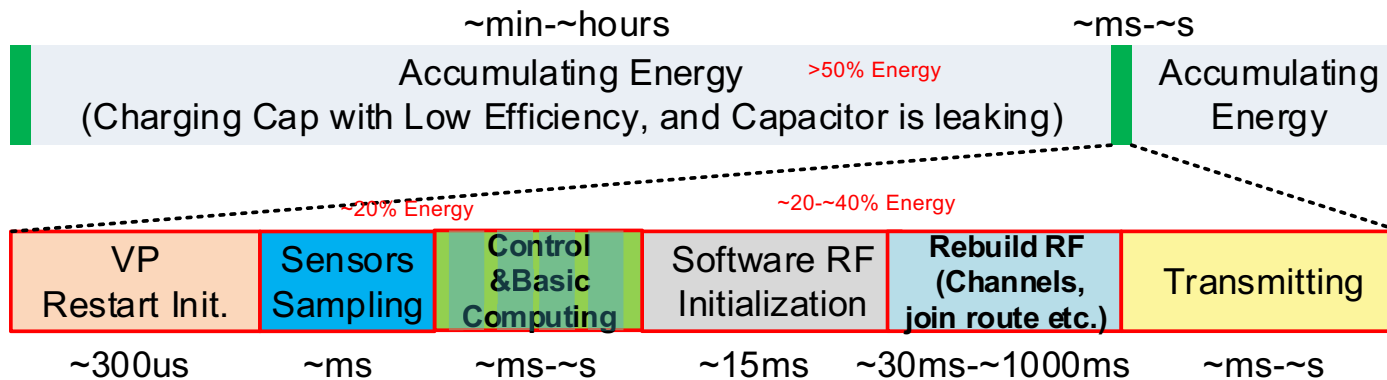
# A Typical Wait-compute System - WispCam by UW Seattle



Application Level  
System Design Details

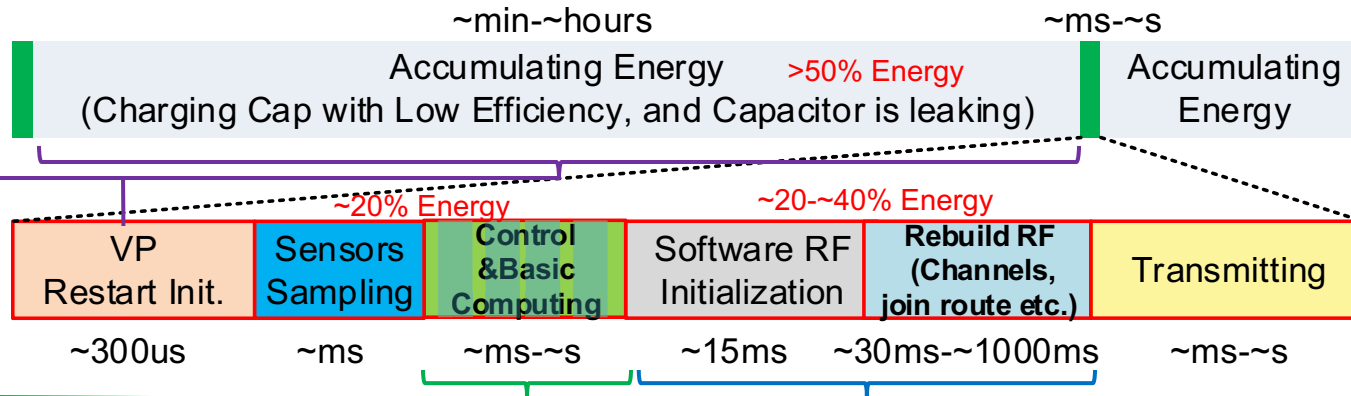


“When 5m away from energy source, it captures and transmits an image approximately **every 15 minutes.**” The capacitor is leaking in the 15 minutes.



❖ Source: Joshua Smith et al. WispCam: A battery-free RFID camera.

# Content



- Motivation and Background

- Nonvolatile Processor Architecture Exploration

- HPCA 2015 Best Paper Award, Top Picks 2016, IEEE Micro Special Issue 2016.

- Dynamic Intelligent Frequency and Resource Allocation

- TECS 2016, ASP-DAC 2017 Best Paper Award.

- Incidental Approximate Computing

- Micro 2017, IEEE Micro Special Issue on Approximate Computing 2018.

- NEOFog: Nonvolatility-Exploiting Optimization in Fog Computing

- ASPLOS 2018, SenSys 2018(Under Review).

- Conclusion

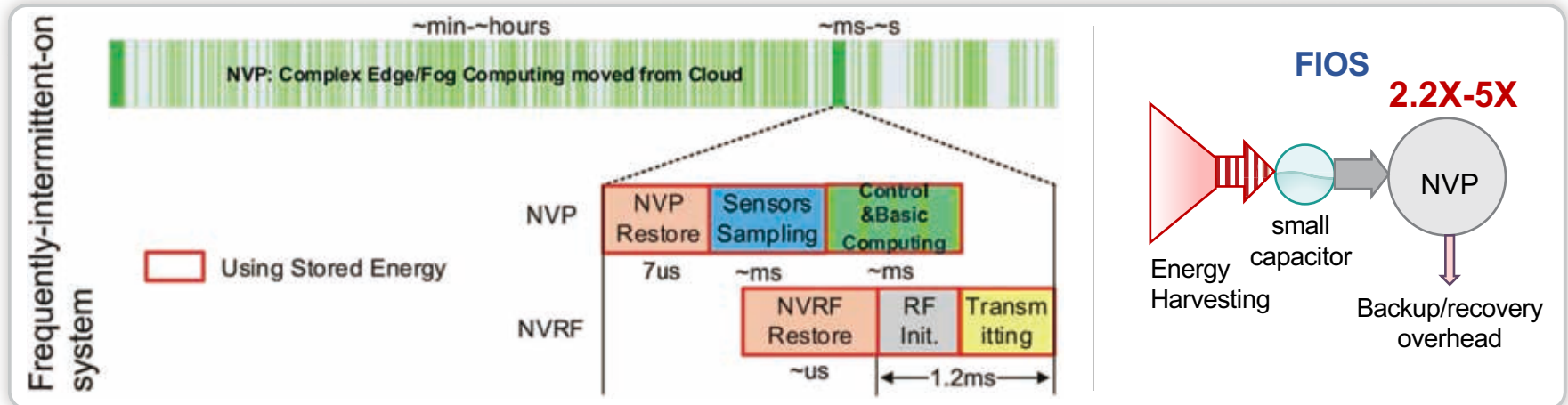
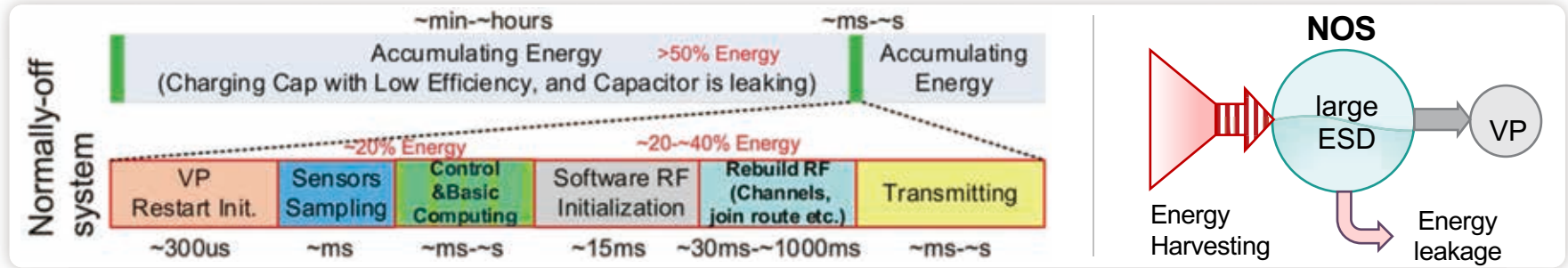


# Energy Harvesting System Optimization Target

**NOS** (Normally-off system)



**FIOS** (frequently-intermittent-on system)

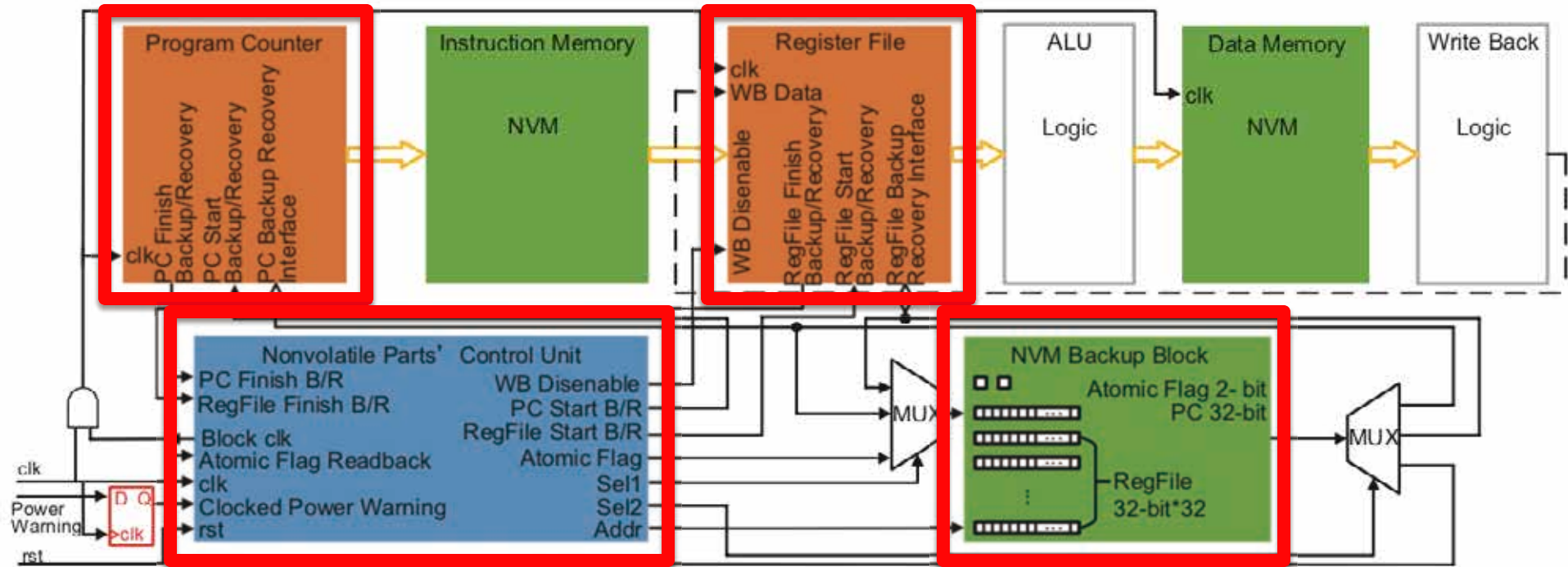


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**How to design architectures to achieve reliable and continuous computing under unstable power supply ?**

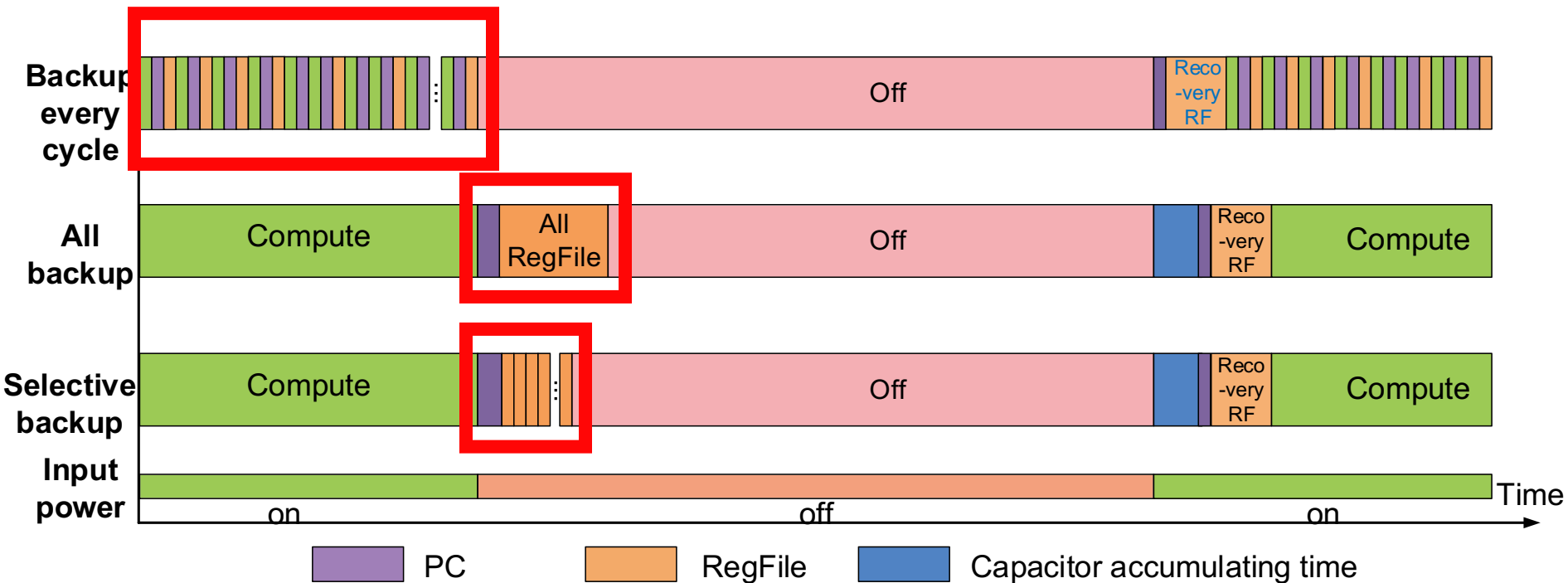


# From Volatile to Nonvolatile



## Non-Pipelined (NP)

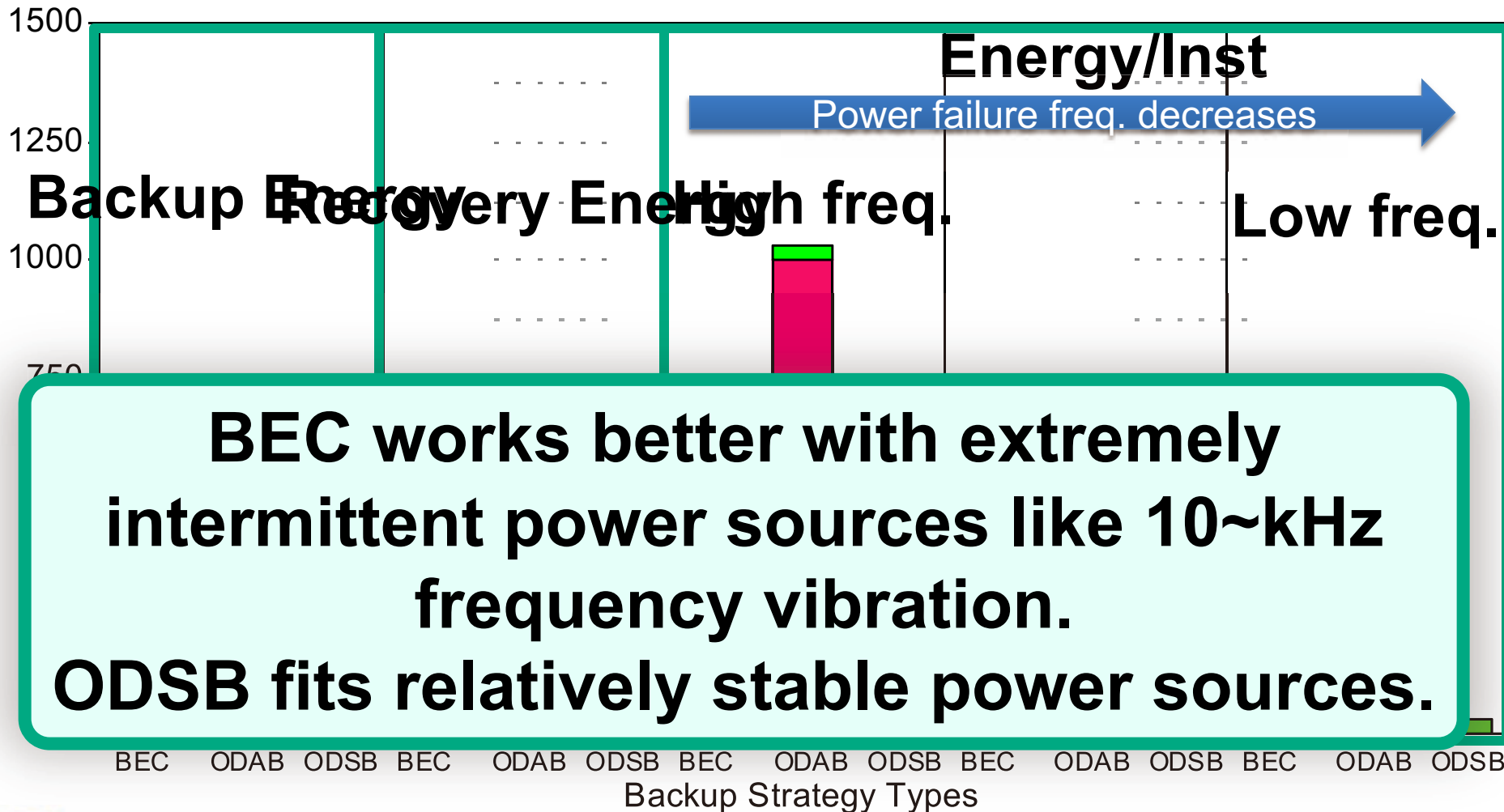
- ✓ Back up changed data every cycle solution (BEC).
- ✓ On demand all backup solution (ODAB)
- ✓ On demand selective backup solution (ODSB)



❖ Source: Ma et al. HPCA 2015, **Selective backup solution has been fabricated and verified** by our collaborators in Tsinghua Univ., at ISSCC 2016.

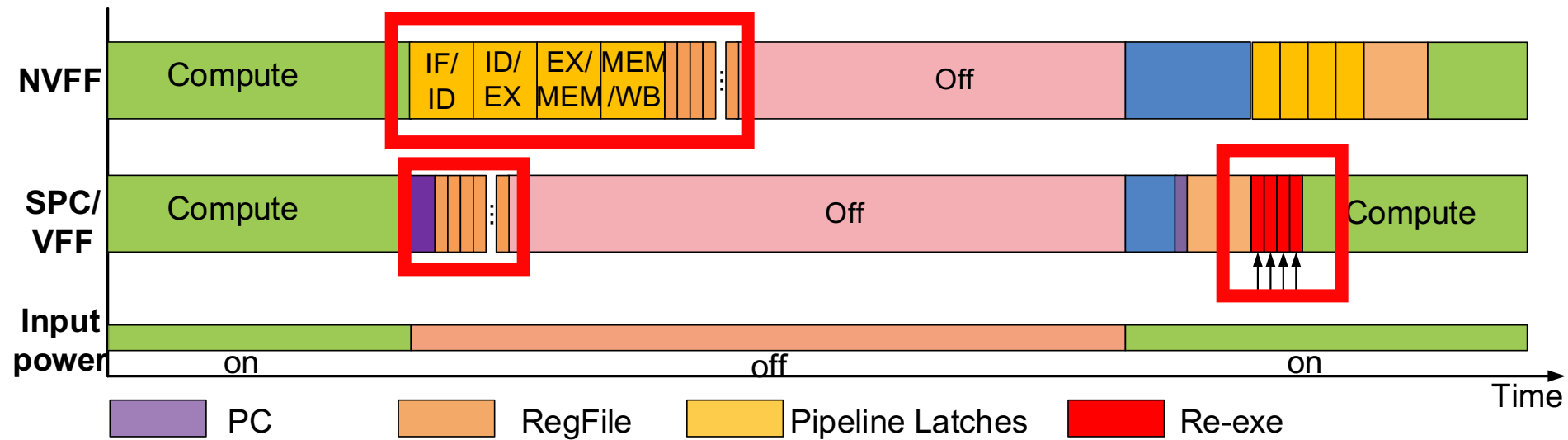


# Non-Pipelined - Results & Comparison



# N-Stage-Pipeline (5SP)

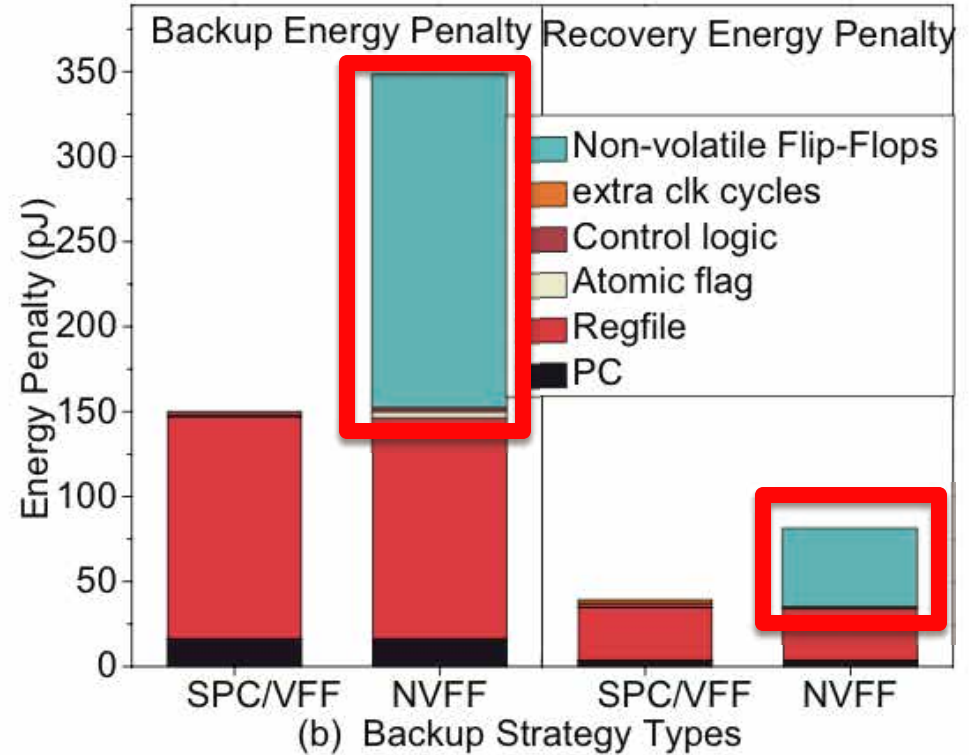
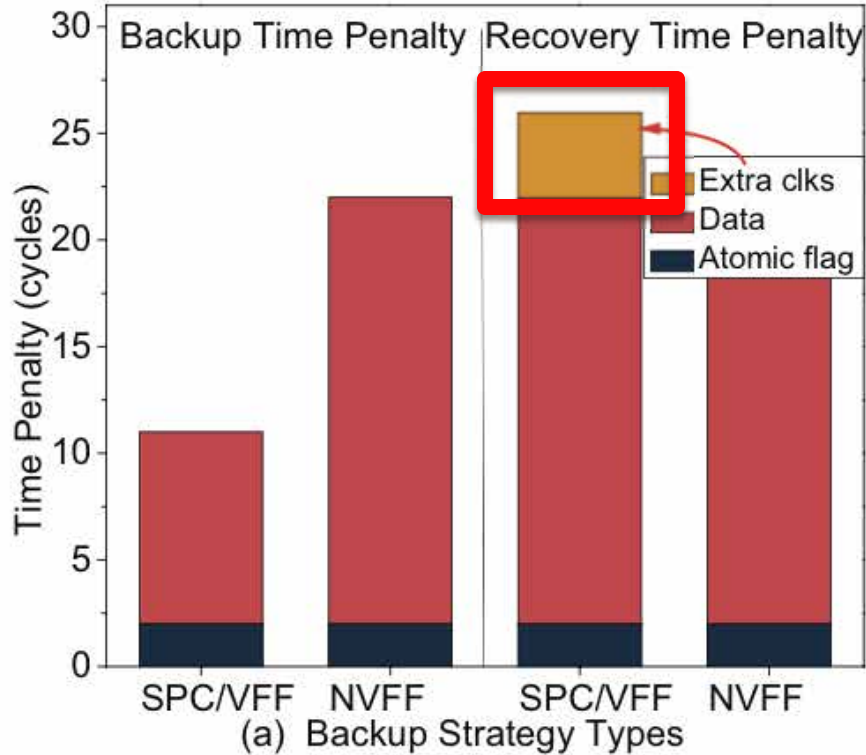
- ✓ Nonvolatile Flip-flops (NVFF) .
- ✓ Shifted PC & Volatile Flip-flops (SPC)



A trade-off between more things to be backed up and fewer backups with re-execution



# Less is More!

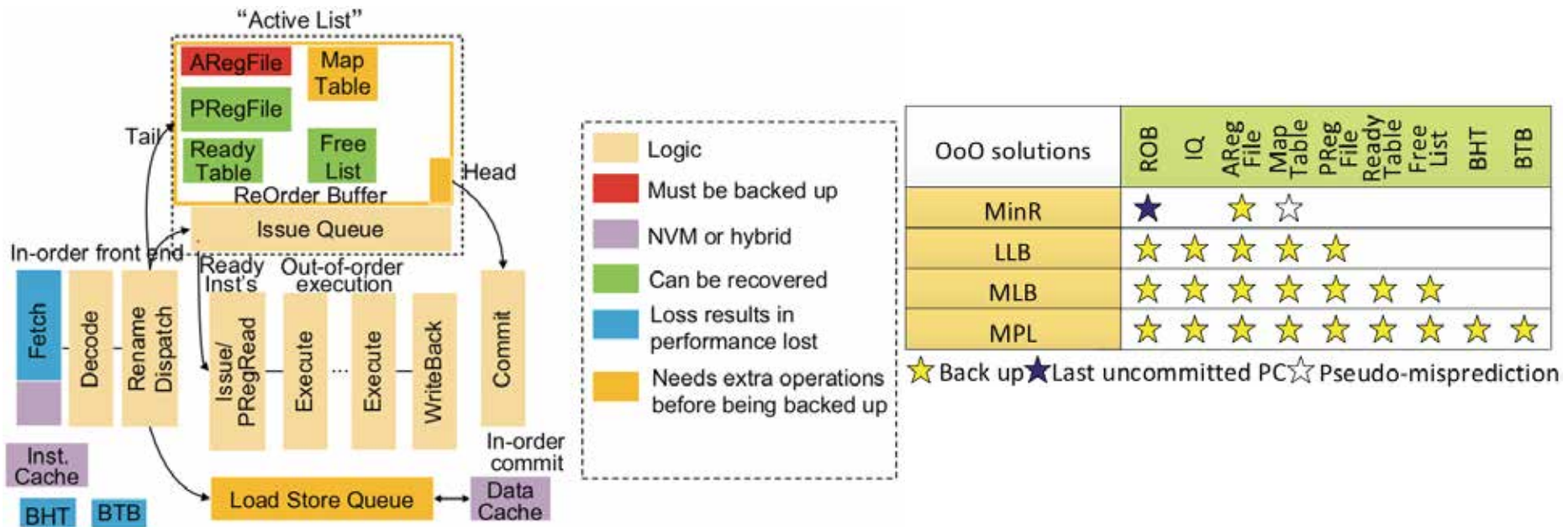


SPC is more energy-efficient than NVFF

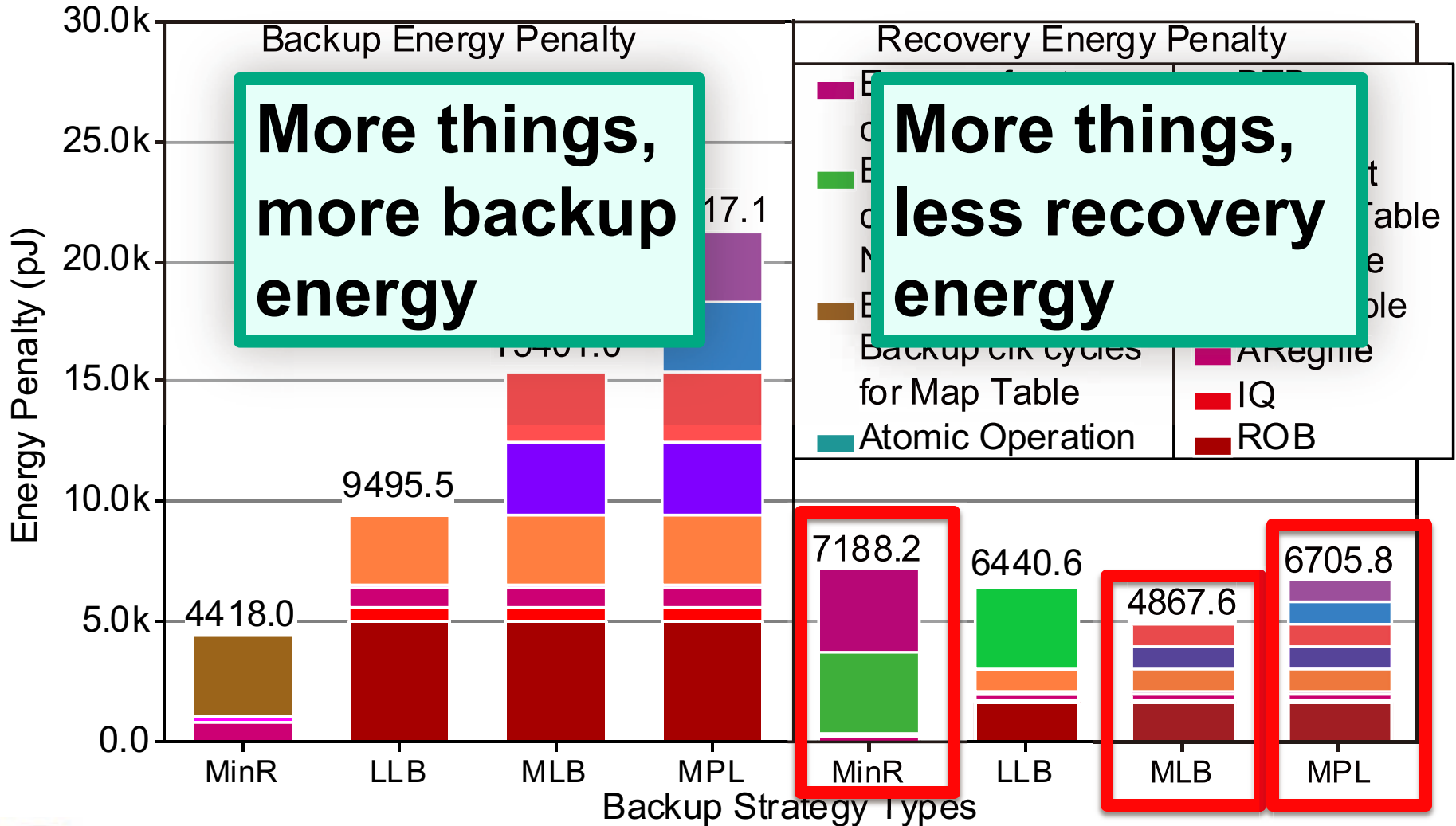


# Out-of-order: An Even Larger Policy Space

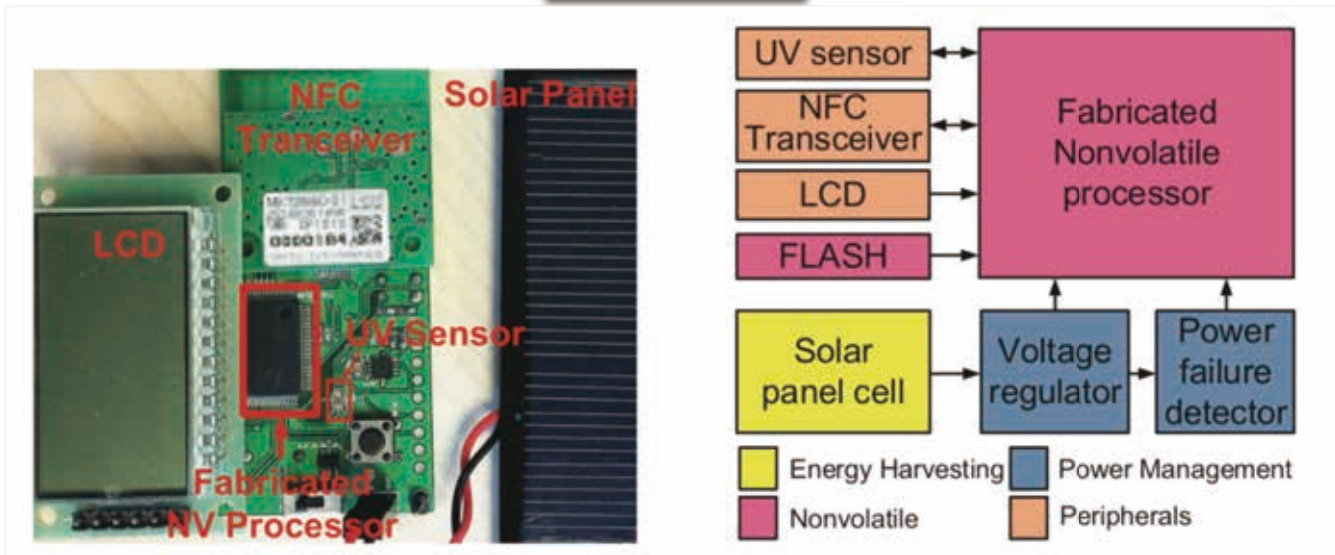
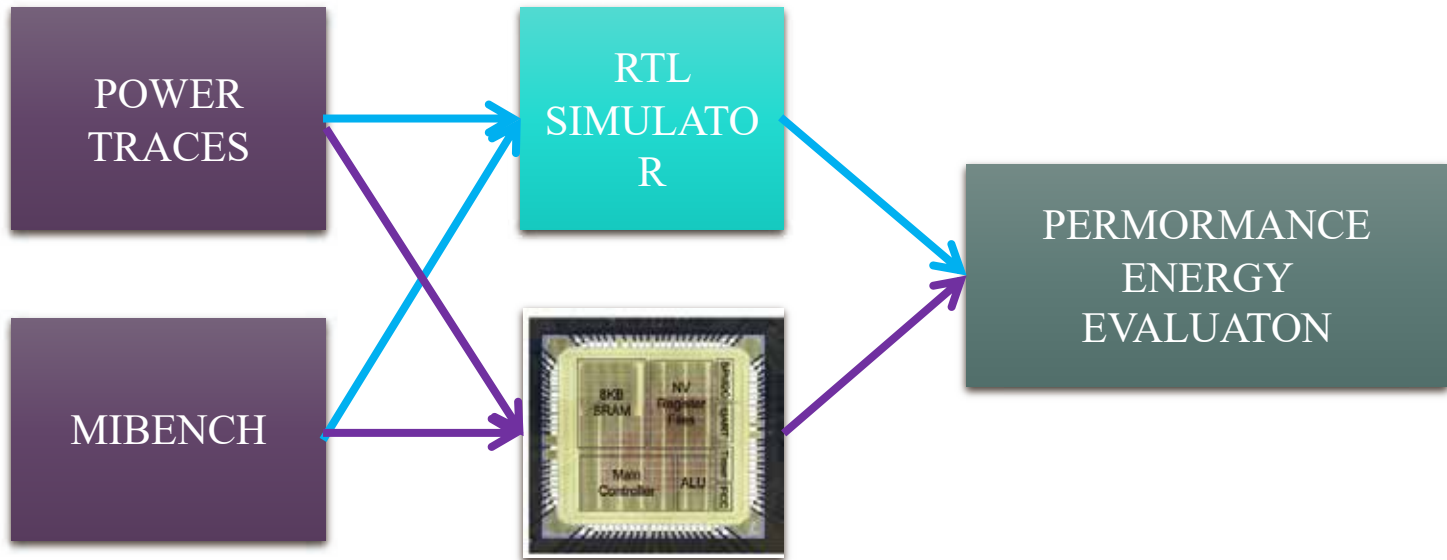
- ✓ Minimum State Resource backup solution (MinR)
- ✓ Low-latency backup solution (LLB)
- ✓ Middle-level backup solution (MLB)
- ✓ Min-state-lost backup solution (MPL)



# Backup and Recovery Energy Costs



# Validation Methodology



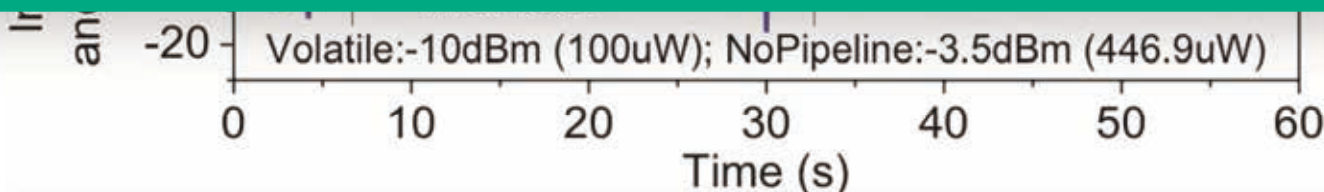


## Architecture Exploration Results

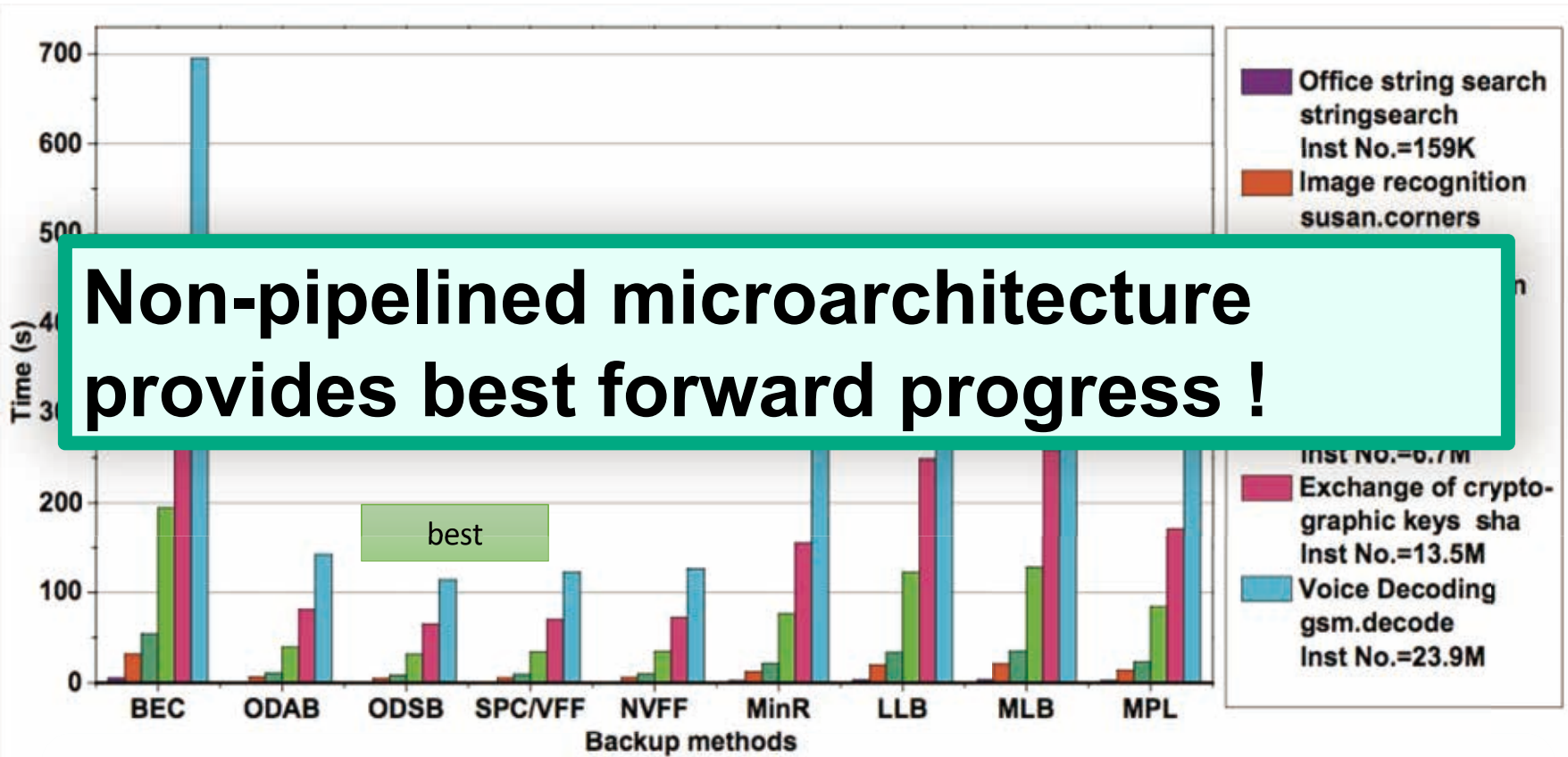


**OoO is best with this power trace:  
Optimizing for low power is not the same  
as optimizing for maximum forward  
progress.**

**Intuitively OoO is not considered for  
energy harvesting scenarios, but we  
prove that it can also be a good choice in  
some cases!**



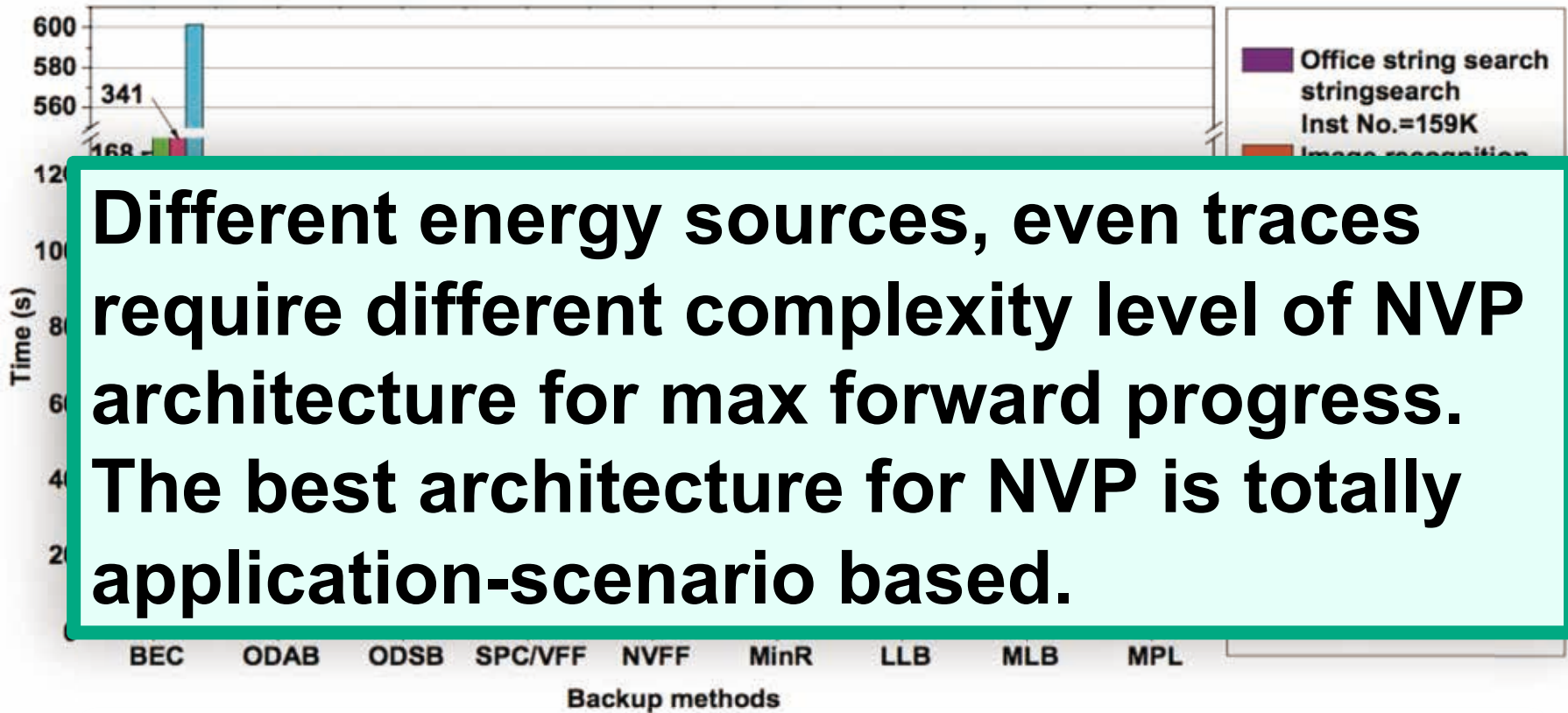
# Execution time with energy scavenged from WiFi Home environment



NP architecture with OnDemand Selective Backup (ODSB) architecture has the best forward progress.



## Execution time with energy scavenged from **WiFi Office** environment



**Different energy sources, even traces require different complexity level of NVP architecture for max forward progress. The best architecture for NVP is totally application-scenario based.**

OoO architecture with Min-Performance-Lost (MPL) architecture has the best forward progress.



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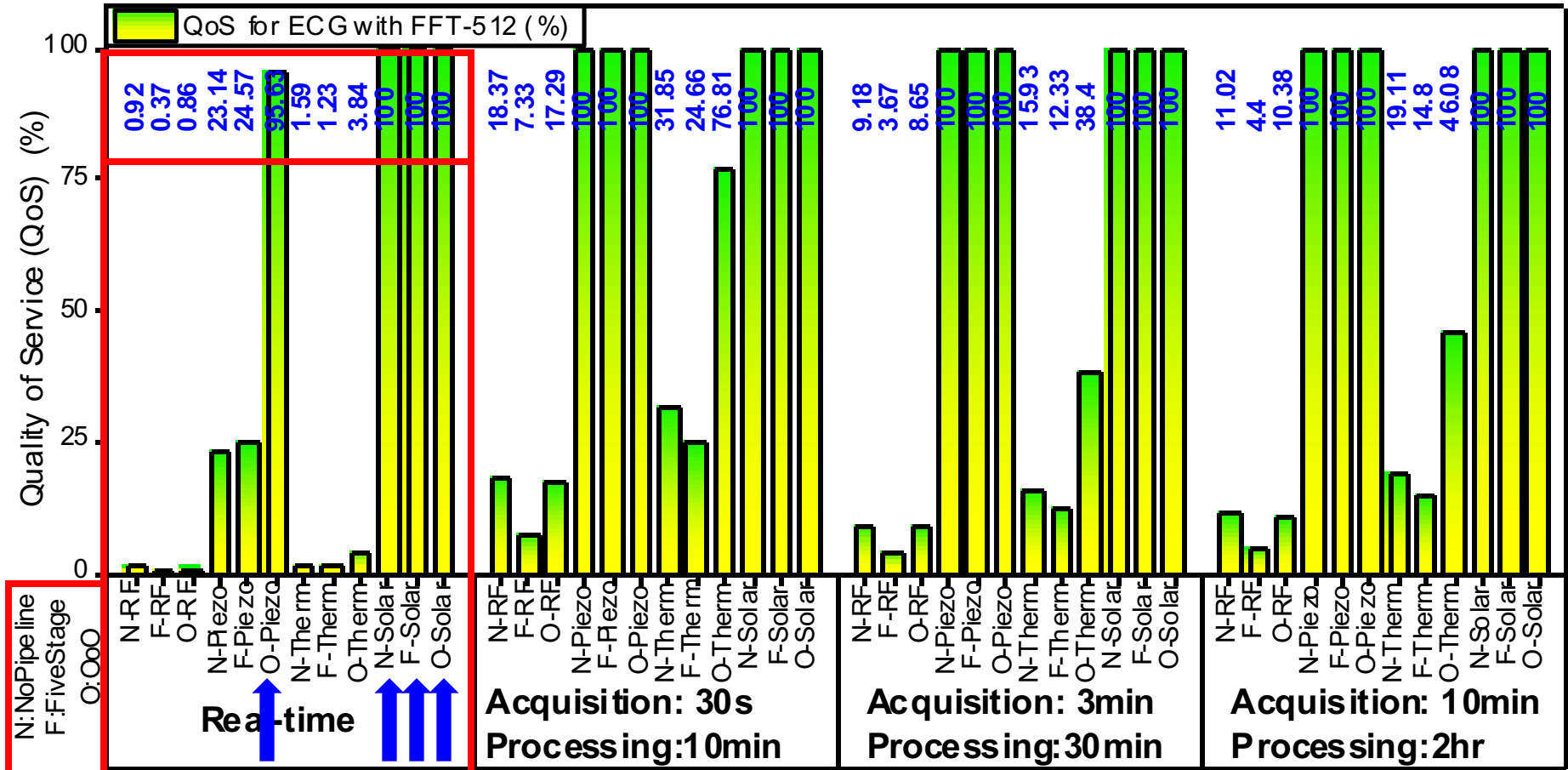
## Design Guidelines



- How possible is an ECG watch powered by thermoelectrics?



# Design Guidelines – Quality of Service (QoS)



(a), QoS for different architectures/energy sources/acquisition & processing strategies in ECG



# Design Guidelines – Make it Possible !

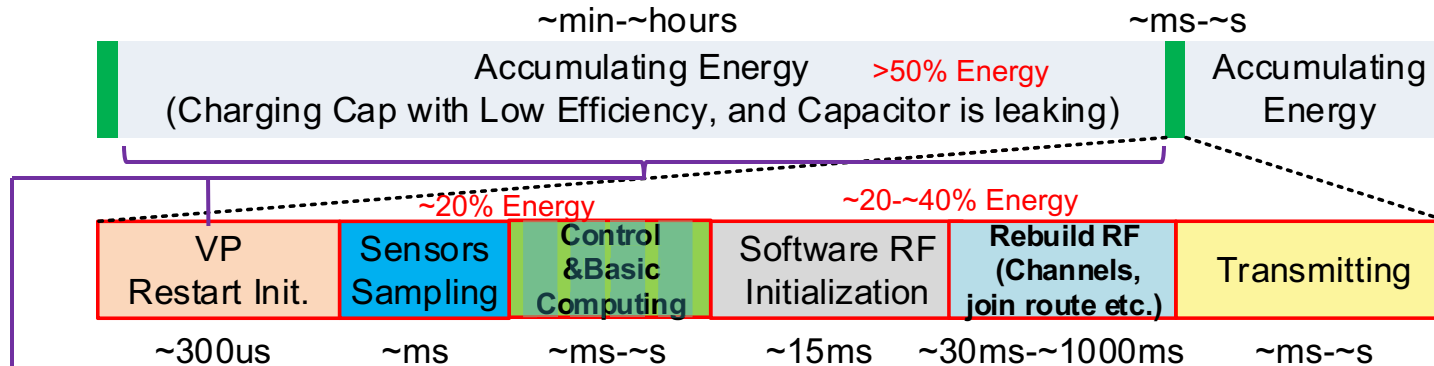
## Baseline parameters and relationship with QoS

| Source  | Parameter                           | QoS Baseline               | Relation to Efficiency |
|---------|-------------------------------------|----------------------------|------------------------|
| RF      | Antenna gain                        | 6dBi                       | $\alpha$               |
|         | Bandwidth                           | 539M                       | $\alpha$               |
|         | Distance                            | 10km                       | $1/\alpha^2$           |
| Therm   | Area                                | $1\text{cm}^2$             | $\alpha$               |
|         | $\Delta T$                          | $20\text{ }^\circ\text{C}$ | $\alpha^2$             |
| Piezo   | Volume                              | $1\text{cm}^3$             | $\alpha$               |
| Solar   | Area                                | $4\text{cm}^2$             | $\alpha$               |
|         | Efficiency                          | 28%                        | $\alpha$               |
| Circuit | IP matching, AC-DC, DC-DC, LDO, Cap |                            |                        |
| Tech.   | Shink Tech.                         | 130nm                      | $\alpha^2$             |
|         | FinFET, IG-FinFET, TFET, NC-FET     | CMOS                       |                        |
|         | DVFS, DATS                          | Fixed frequency            |                        |
|         | Voltage                             | 0.95V                      | $1/\alpha^2$           |





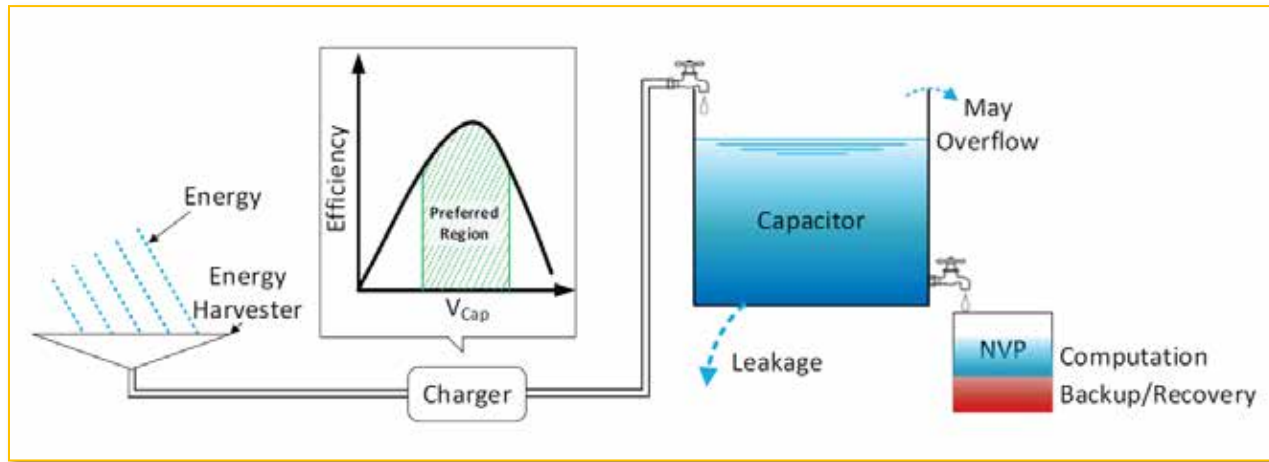
# Content



- Motivation and Background
- Nonvolatile Processor Architecture Exploration
  - Node Level Optimization - from normally-off to frequently-intermittent-on
- **Dynamic Intelligent Frequency and Resource Allocation**
  - **Node Level Optimization for efficiency**
- Incidental Approximate Computing
  - QoS Level Optimization
- NEOFog: Nonvolatility-Exploiting Optimization in Fog Computing
  - System Level Optimization - How nonvolatility changes the system
- Conclusion



# NVP System Optimization Opportunities



Forward Progress = Energy used for Computation / Energy per Instruction

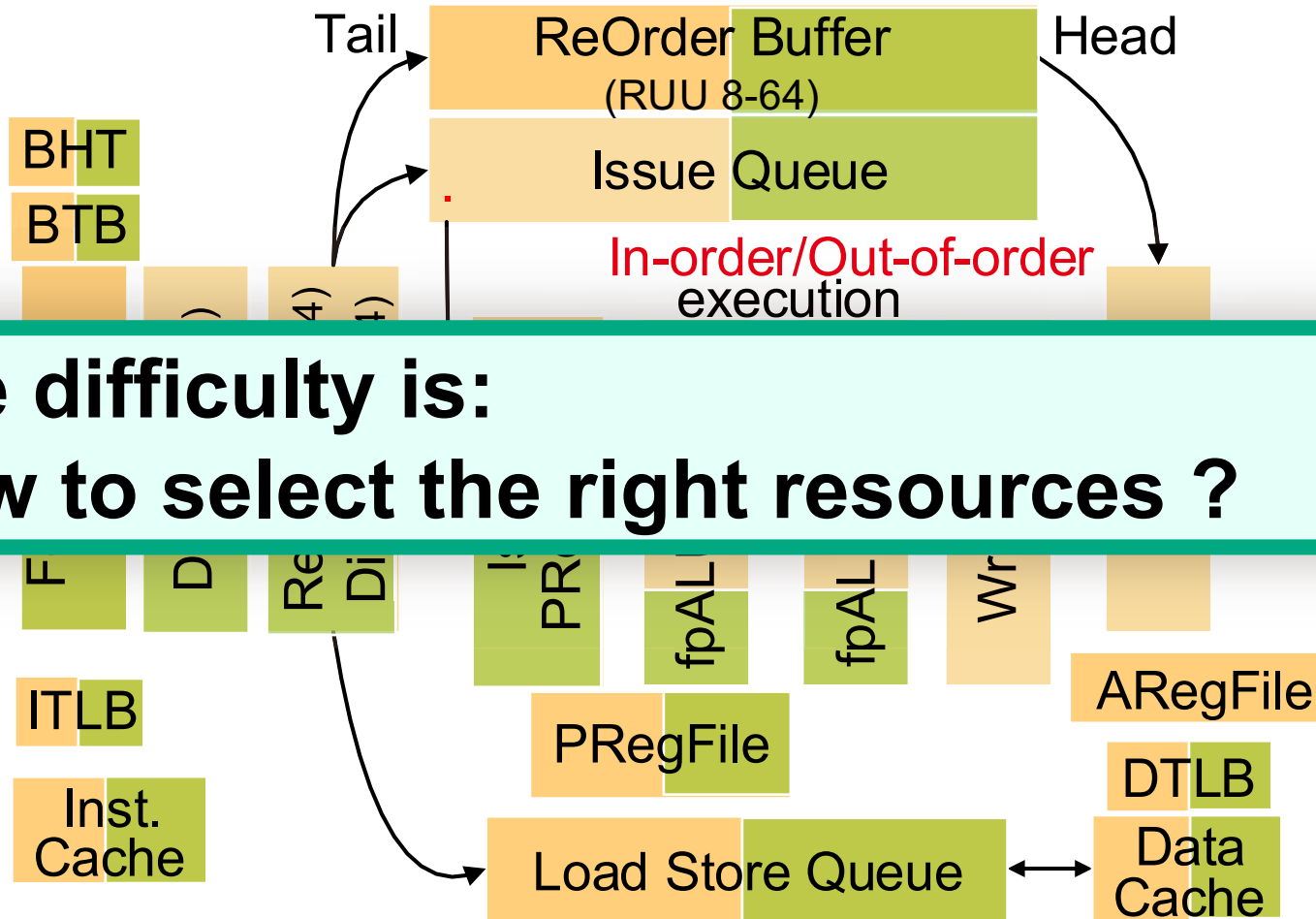
Energy used for Computation = (Input Energy \* Harvester efficiency \* Storage Efficiency – Leakage - Overflow ) \* Ratio of computation/backup&recovery

- Use the energy as aggressively as possible, DFS etc.
- Reduce EPI: Resource allocation for IPC, Approximate computing, Code optimization
- Reduce backup energy: selective backup, approximate backup, compression

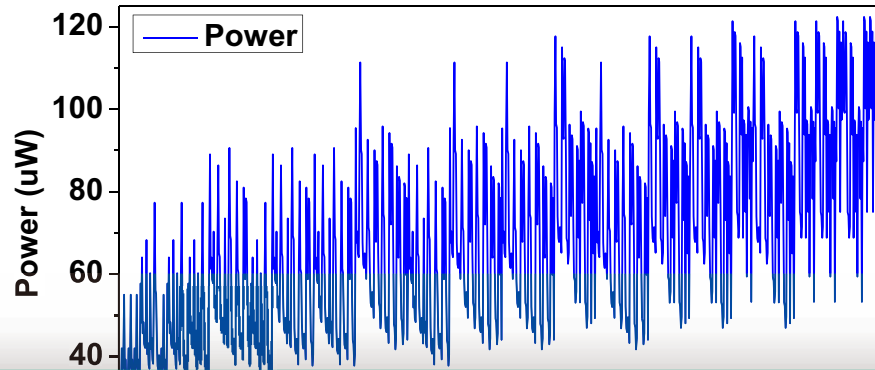
❖ Source: Ma et al. IEEE TECS 2017



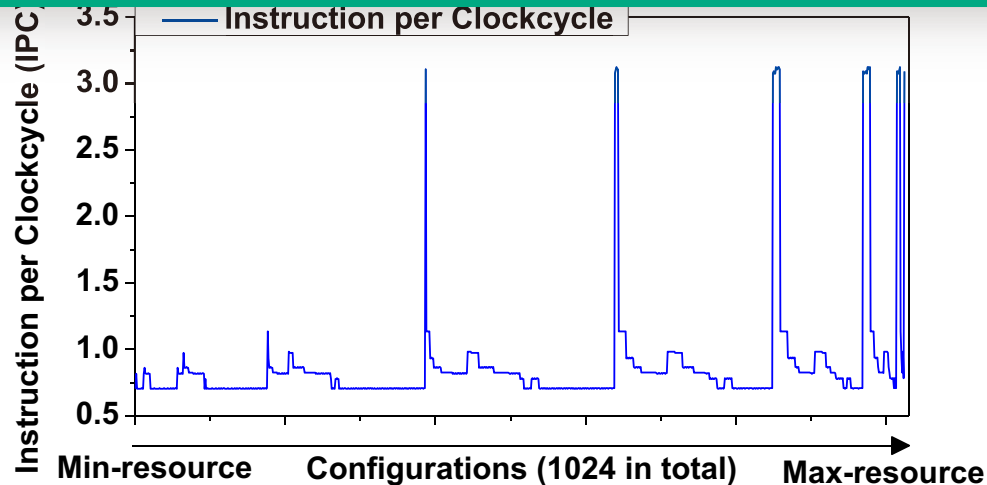
# Dynamic OoO



## Higher Power is NOT Always Good



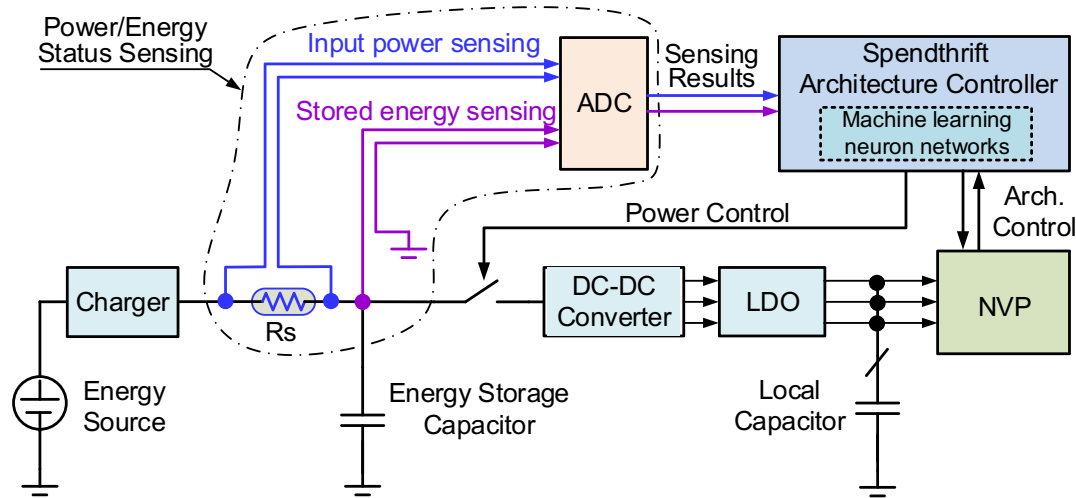
**How to intelligently select the proper resource, for each testbench ?**



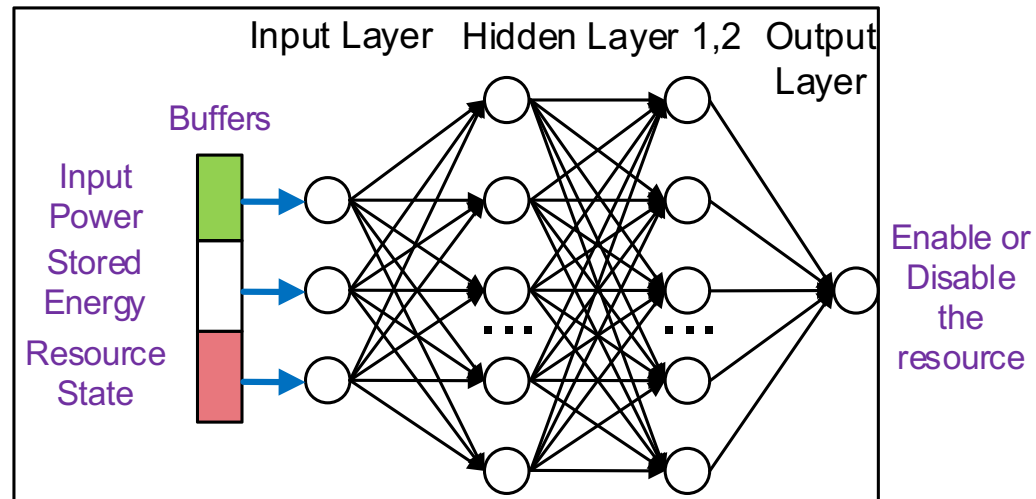
IPC V.S. different resource configurations, testbench "susan\_corners".



# Neural Networks Predictor



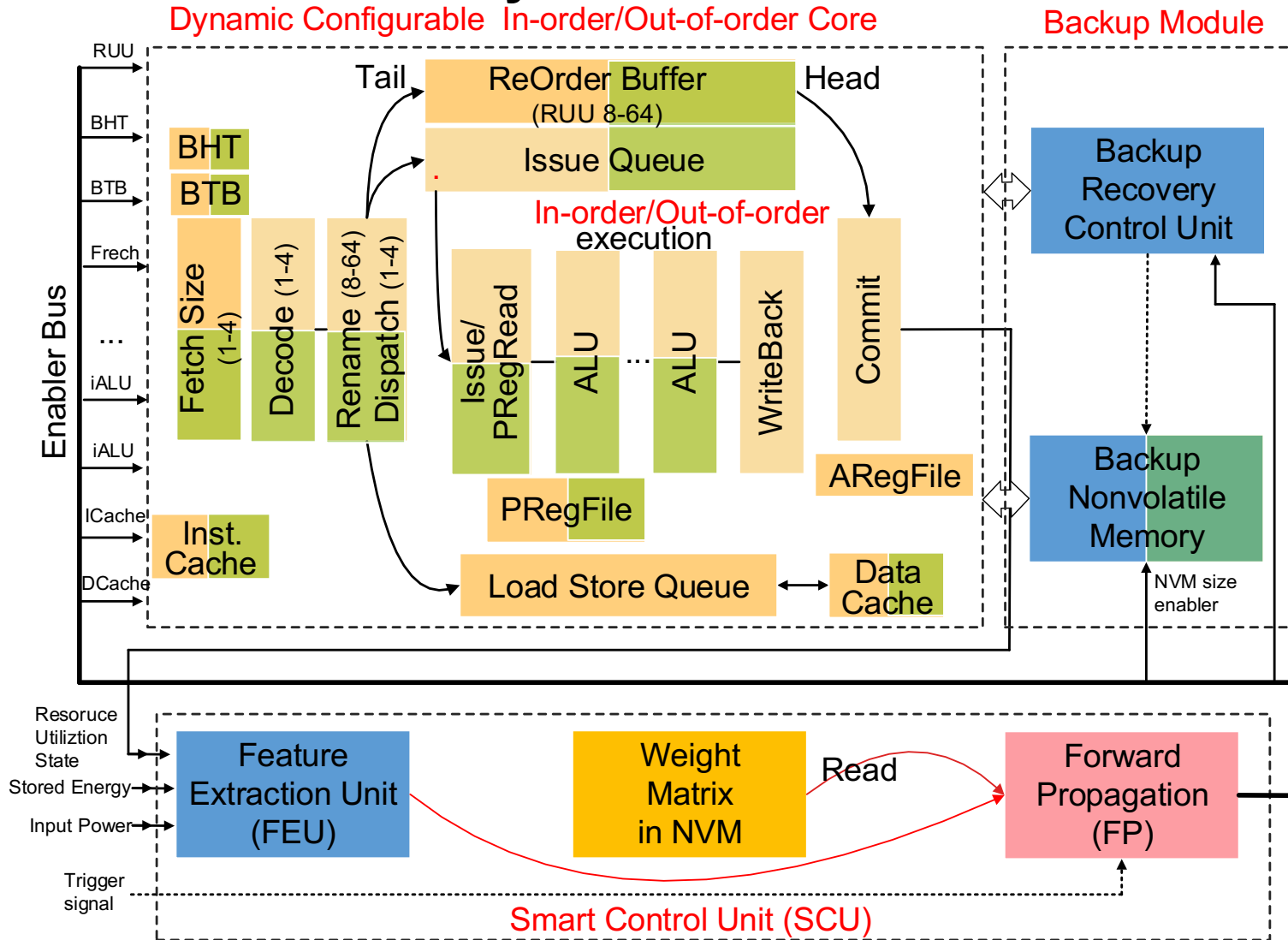
System diagram with feature extraction circuits



Neural networks for one resource prediction



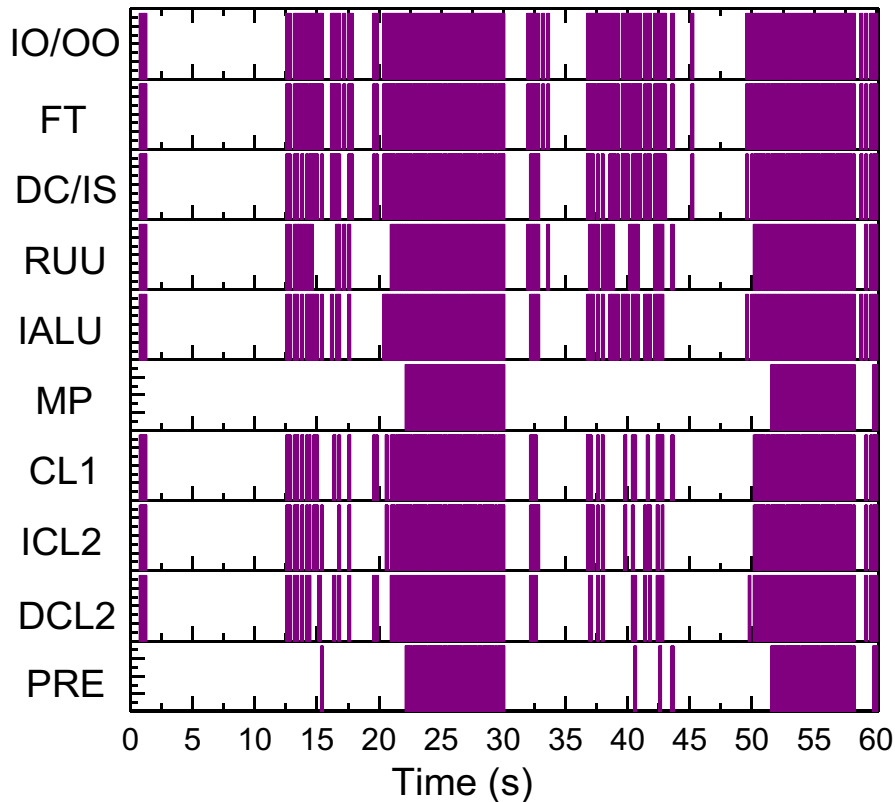
# Resource Allocation System Architecture



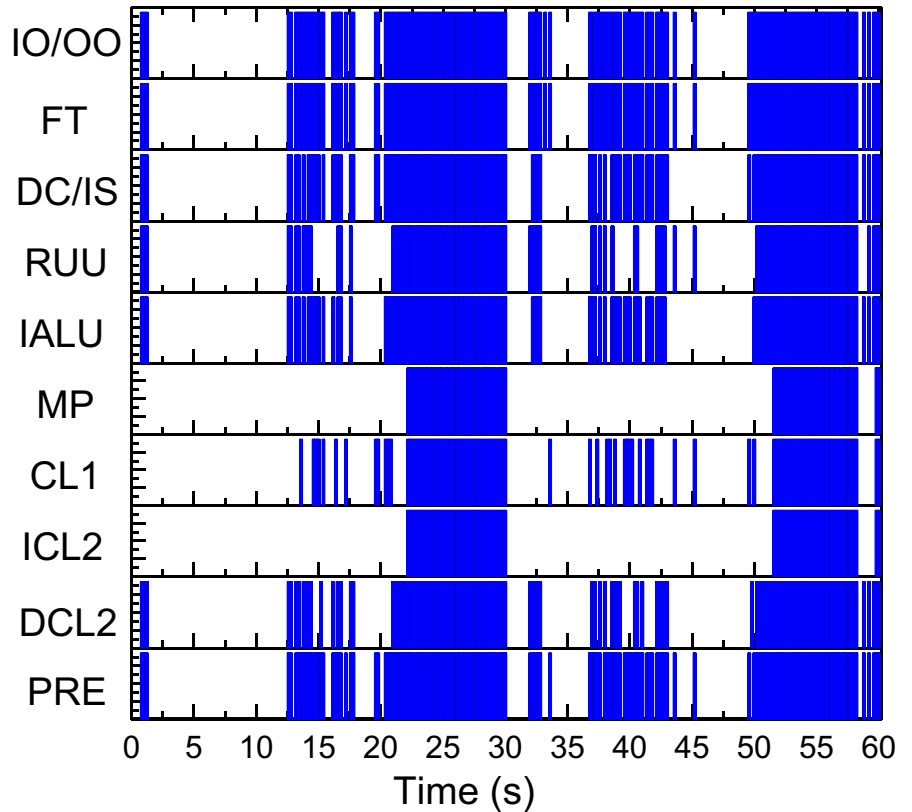
The system diagram of configurable resource allocation architecture. Configurations: IO/OO: InOrder/Out-of-Order, low for In-Order, high for Out-of-Order; FT: Fetch Width, low for 1, high for 4; DC/IS: decoder and issue width, low for 1, high for 4; RUU: low for 8, high for 128; ALU: low for 1, high for 4; MP: memory port, low for 2, high for 8; CL1: Instruction and Data Cache: low for -cache:il1 il1:256:32:1:1, high for -cache:il1 il1:256:32:4:1; ICL2: low for -cache:d11 d11:256:32:1:1, high for -cache:d11 d11:256:32:4:1; DCL2: low for -cache:d12 ul2:64:64:4:1, high for -cache:d12 ul2:256:64:4:1; PRE: low for -bpred:bimod 128, high for -bpred:bimod 1024.



# Bottleneck Resource Allocation Results



Testbench “susan\_corners” resource allocation.



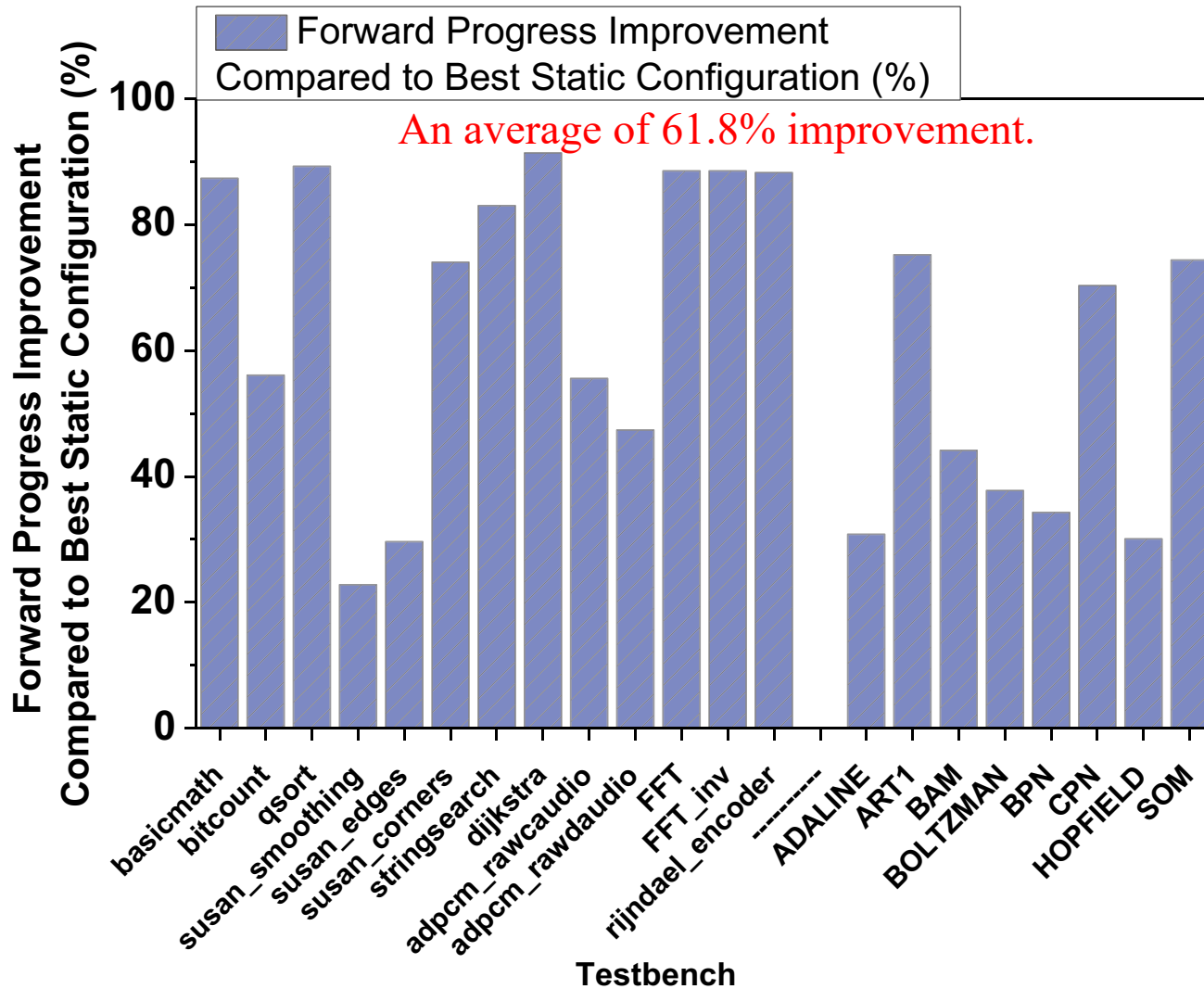
Testbench “rijndael\_encoder” resource allocation.

Predictor details: Offline training is used with 10k training set, achieving an accuracy above 90% on Mibench “small inputs”.



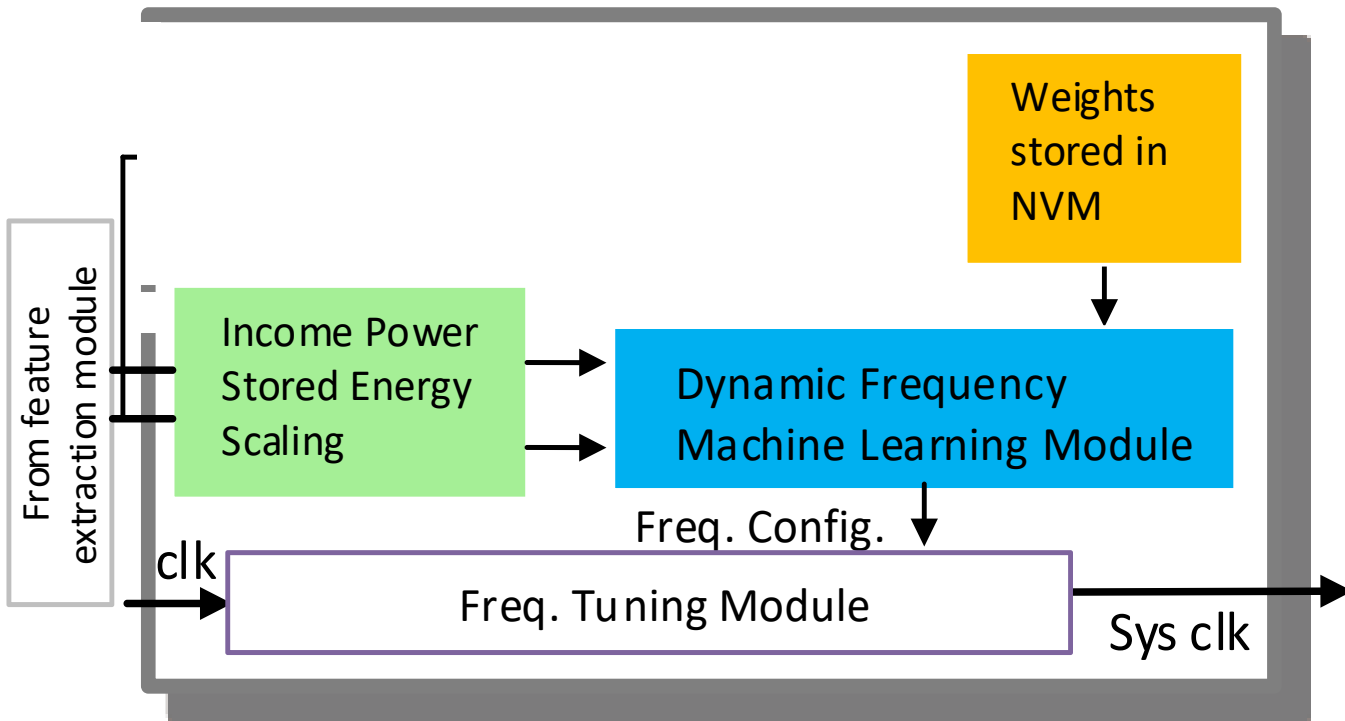


# Bottleneck Resource Allocation - Improvement



Bottleneck resource prediction: An average of 61.8% forward progress improvement.

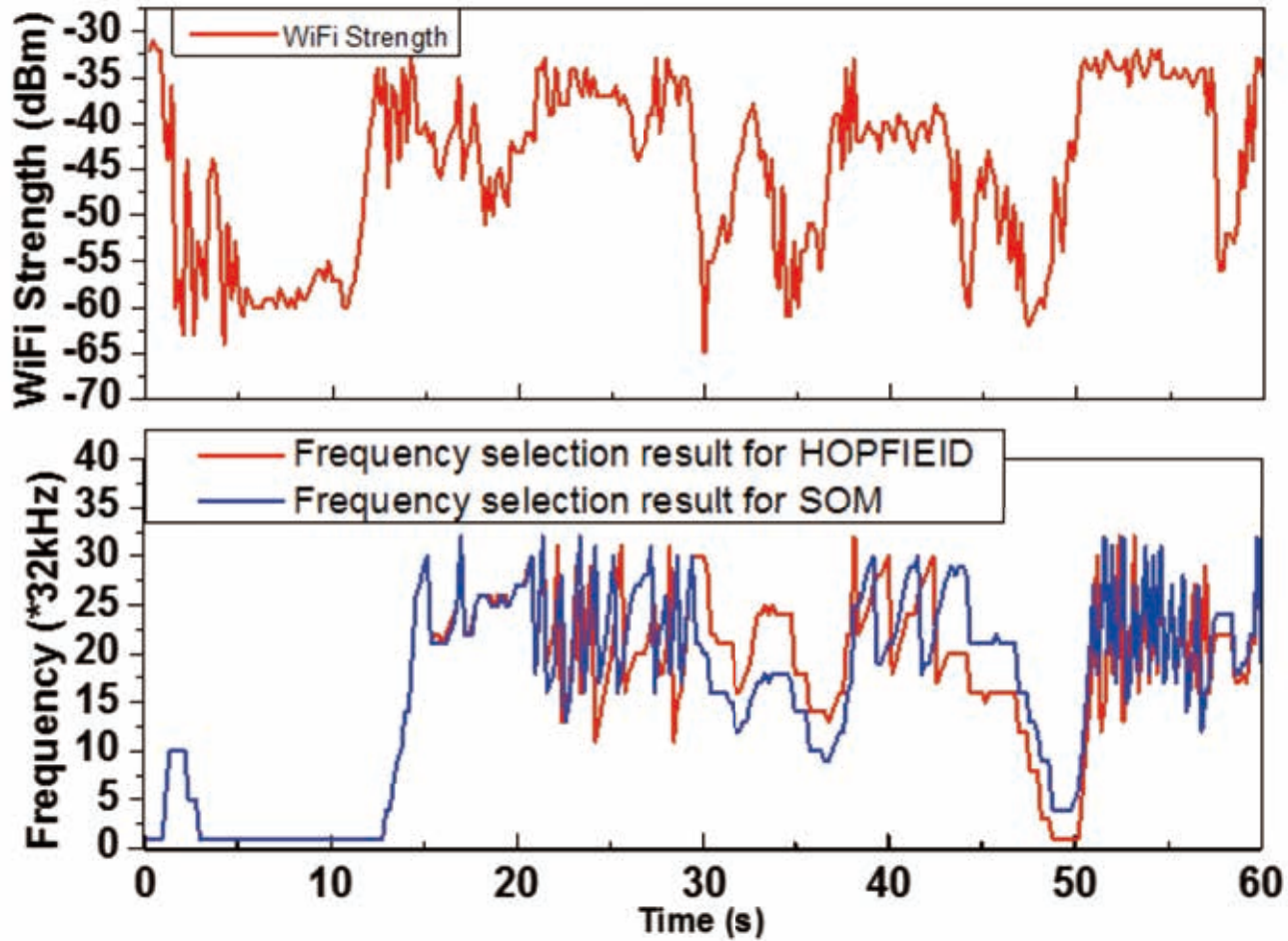
# Smart Frequency Prediction Module



Predictor details: It has 2 entries: power income level and stored energy level. There are two hidden layers for 32\*2 hidden neurons, and 32 outputs as the predicted possibility to select the frequency. The frequency with the largest possibility will then be selected (Simplified Softmax layer). The initial neural networks achieve above 98% accuracy with <10k training set.



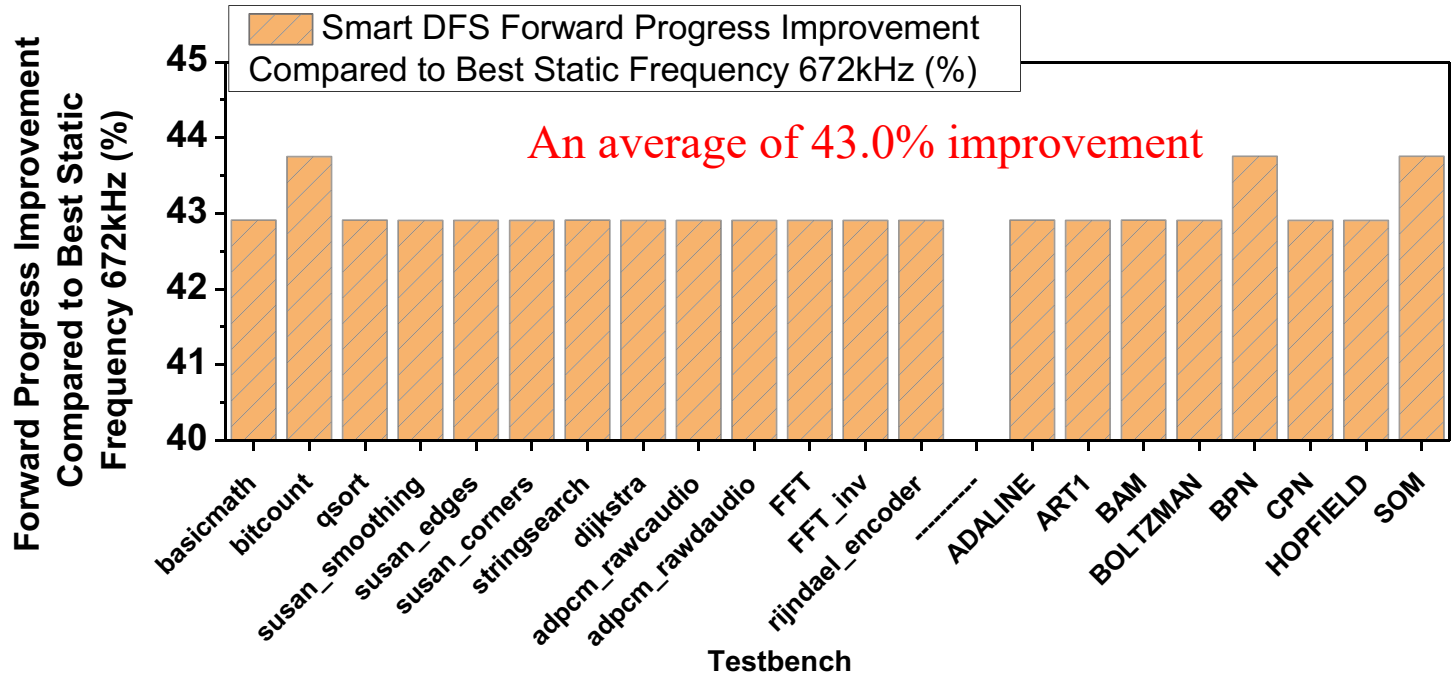
# Smart Frequency Prediction Results



DFS frequency selection results.



# Smart Frequency Prediction Results



DFS frequency forward progress improvement compared to the best static frequency 672kHz

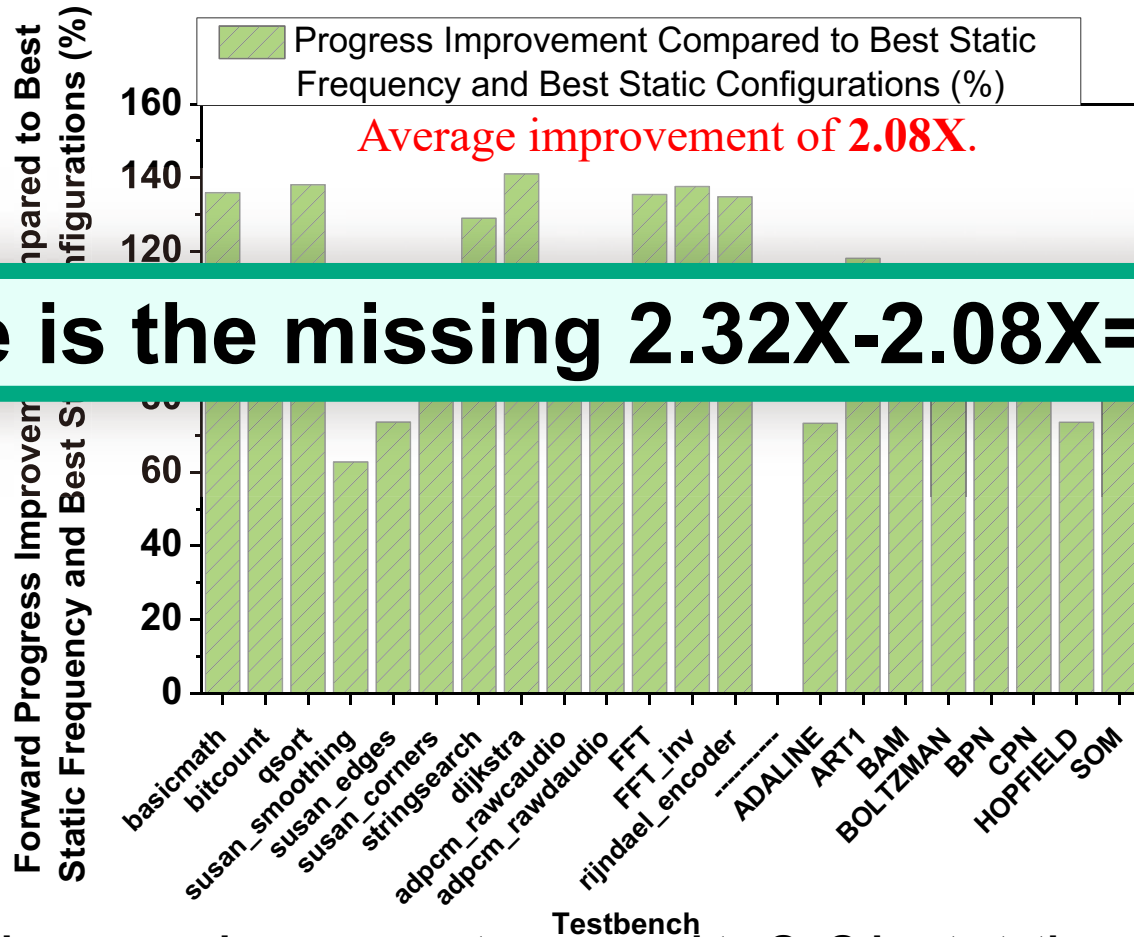
$$\text{Forward Progress Improvement} = \frac{\text{FP of Smart DFS}}{\text{FP of best static frequency}}$$

$$= \frac{\frac{\text{Energy for Computation of Smart DFS}}{\text{EPI for Specific Testbench}}}{\frac{\text{Energy for Computation of Fixed Frequency}}{\text{EPI for Specific Testbench}}}$$



# Bottleneck Resource + Smart Frequency Prediction

$$\text{Forward Progress} = \frac{\text{Energy used for computation } 1.43\text{X}}{\text{Energy per Instruction (EPI) } 1.62\text{X}} \left. \vphantom{\frac{1.43\text{X}}{1.62\text{X}}} \right\} 2.32\text{X}$$



**Where is the missing 2.32X-2.08X= 0.24X ?**

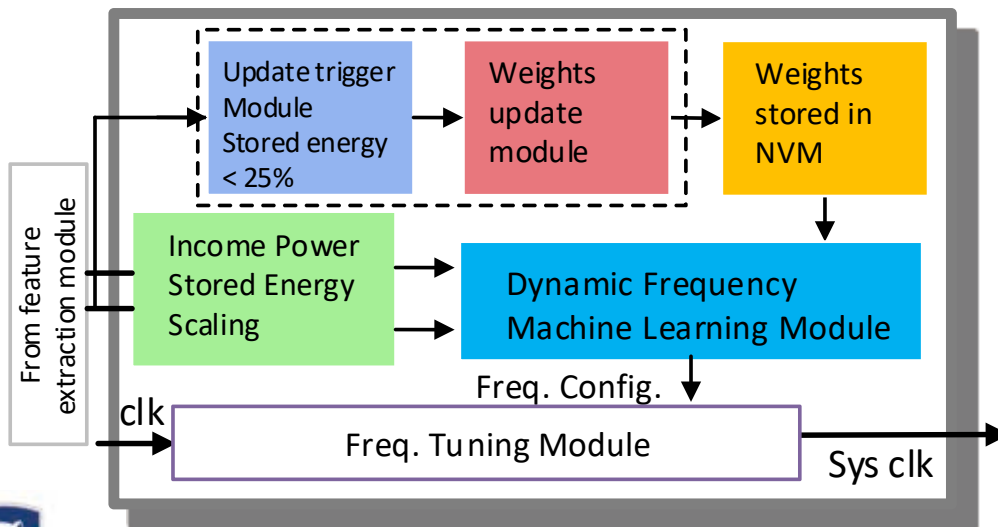


Forward progress improvement compared to OoO best static configuration and best static frequency. Average improvement of 2.08X.

# Bottleneck Resource + Smart Frequency Prediction

$$\text{Forward Progress} = \frac{\text{Energy used for computation}}{\text{Energy per Instruction (EPI)}}$$

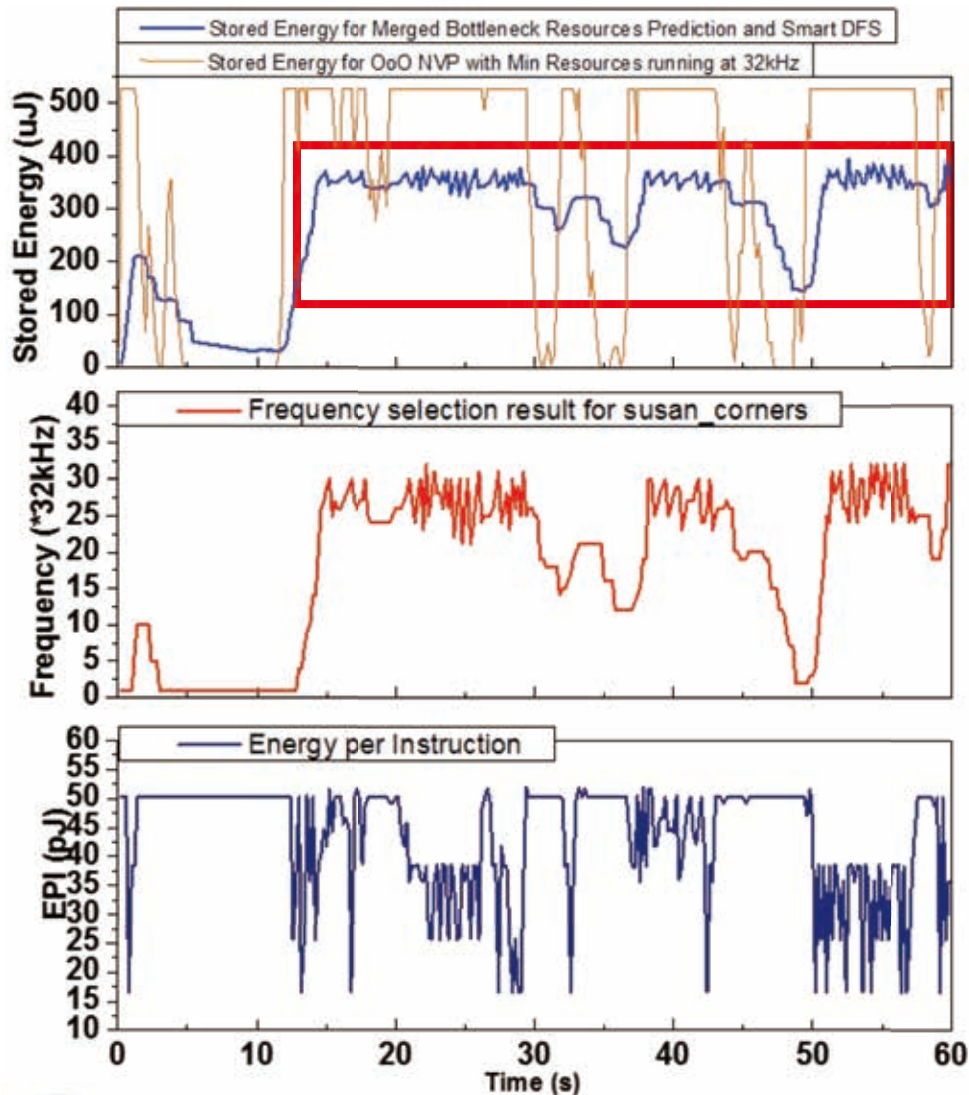
- Smart DFS -> energy used for computation; bottleneck resource prediction -> EPI.
- However, both approaches **compete for the same income power** to affect their benefits.
- Powering on all resources is rarely the most energy efficient way to use income energy.
  - **Good policy for only bottleneck resource prediction**
  - **Bottleneck Resource + Smart FS: Targeting the best EPI point while bursting the frequency.**



To avoid increased backups, once the stored energy level is less than 25%, the training module is triggered to compute the new weights, and update the weight in NVM, using one step lower frequency.



# Bottleneck Resource + Smart Frequency Prediction



If the stored energy level is not full, the bottleneck resources predictor is unlikely to predict powering on all resources, and will continue at a more EPI-efficient point.

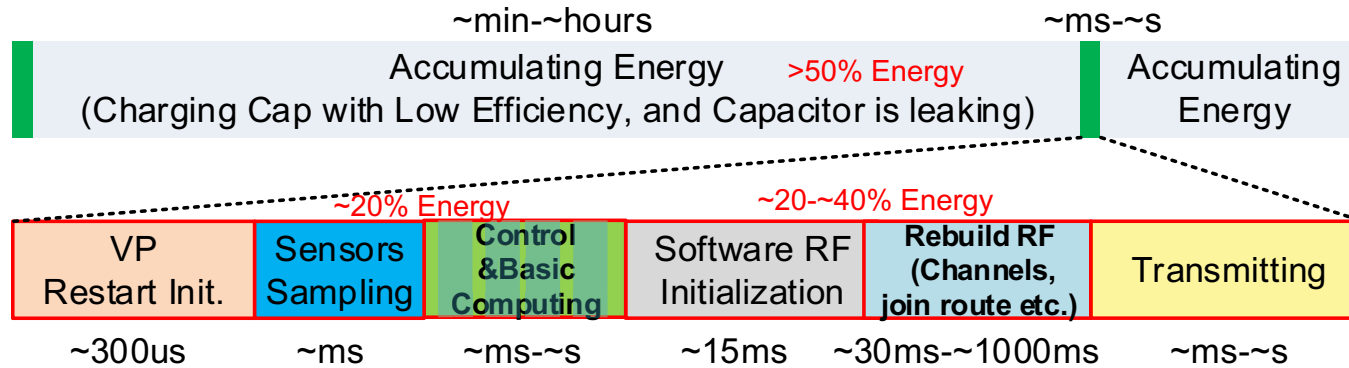
In contrast, when the input power is very small, the predictor generates minimum resource configurations with higher EPI to ensure the NVP continues running but avoids backup operations.



Fine-grained simulation results for merged bottleneck resources predictor and smart DFS.



# Content

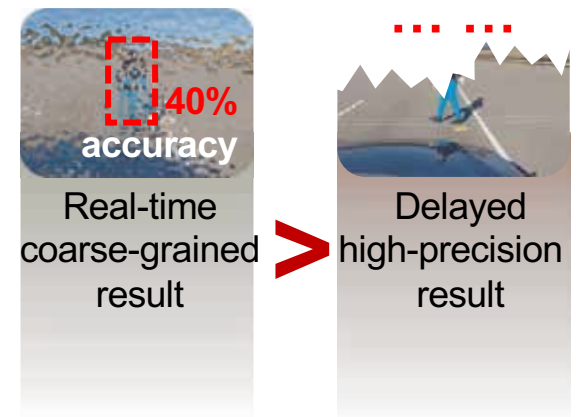
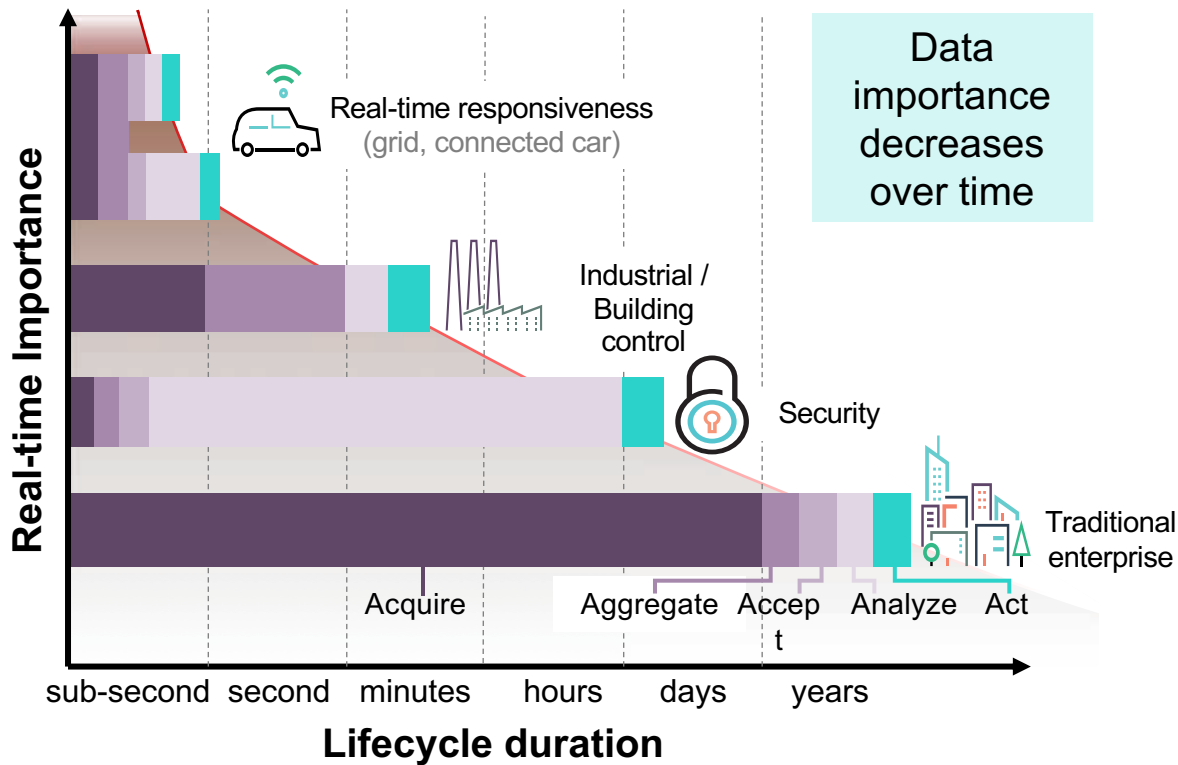


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# Quality of Service in IoT Nonvolatile Processors

## Best Forward Progress = Best Quality of Service ?



## Quality metrics of NVP

**Forward Progress**

+

**QoS**

(response time)

❖ Source: Hewlett Packard Enterprise

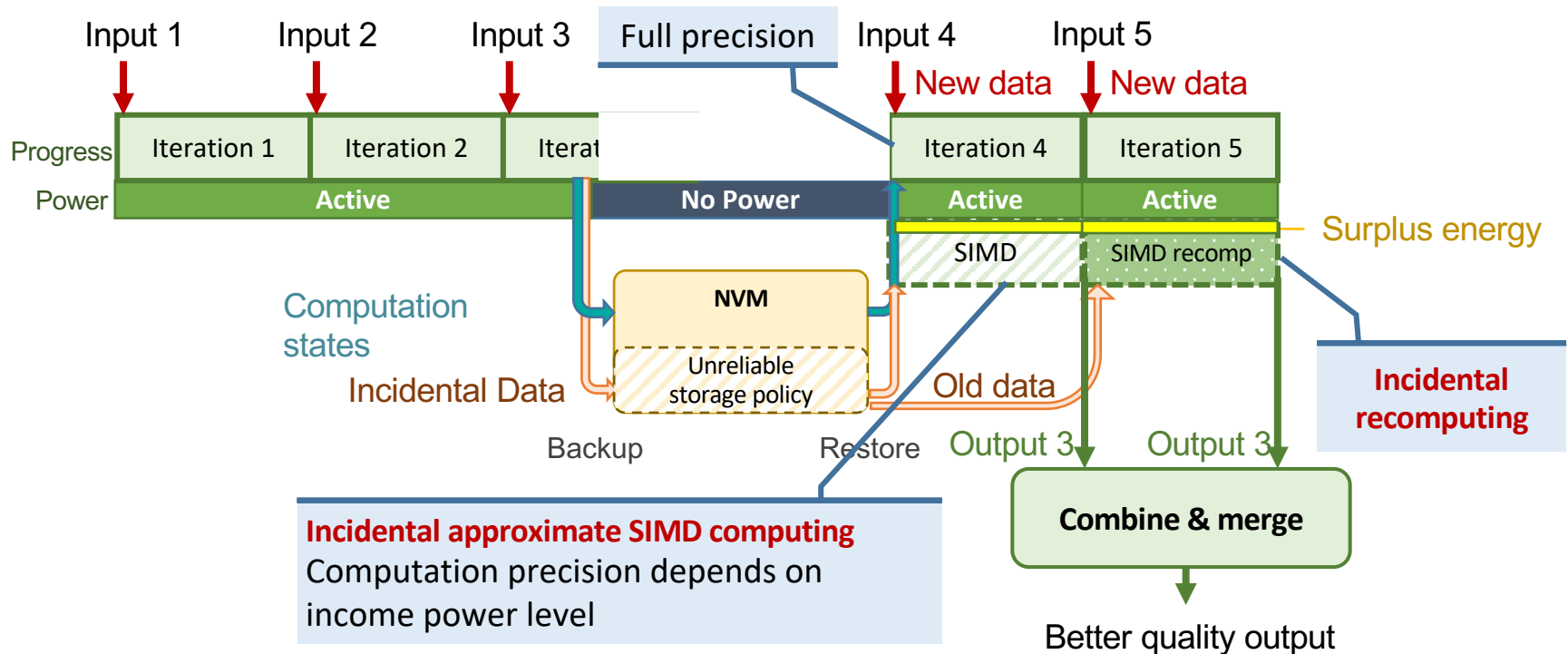


# Incidental Approximate Computing Concept

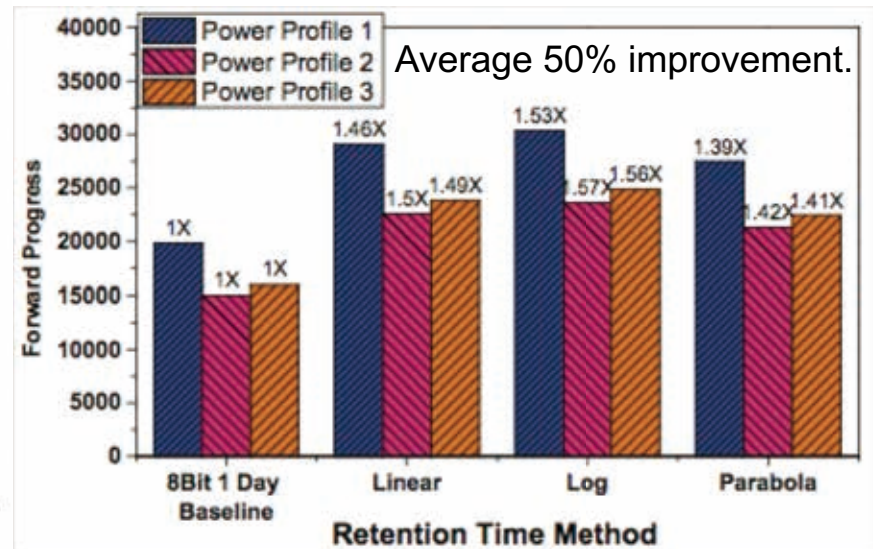
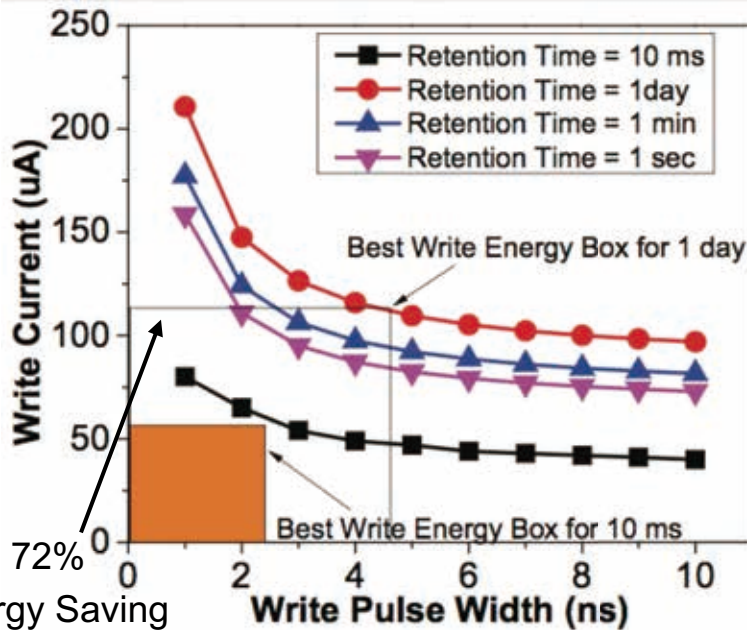
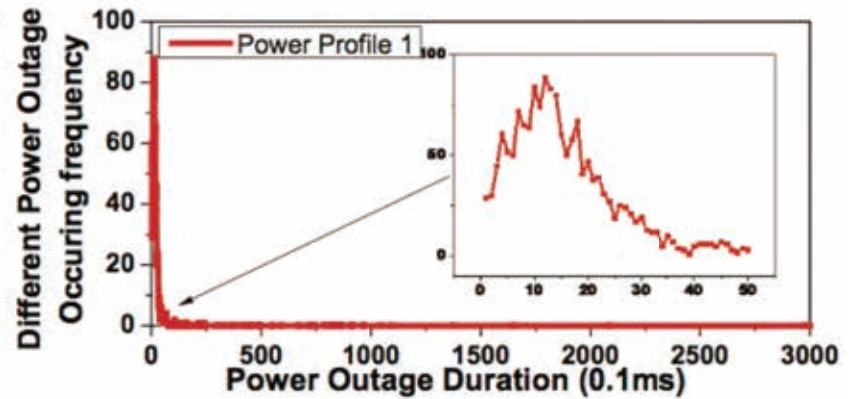
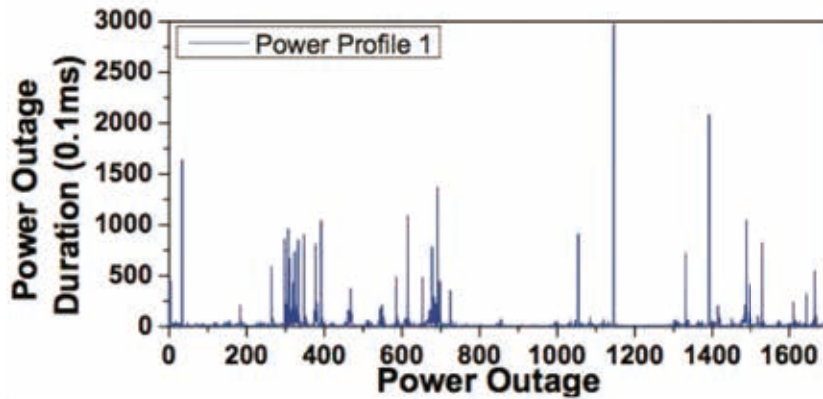
**Incidental computing**, wherein older computation is carried out in a best-effort fashion during the execution of newer computations.

## Roll-forward Instead of Roll-back

## Recompute and Combine (RAC)



# Incidental Approximate Backup - 50% more FP benefit

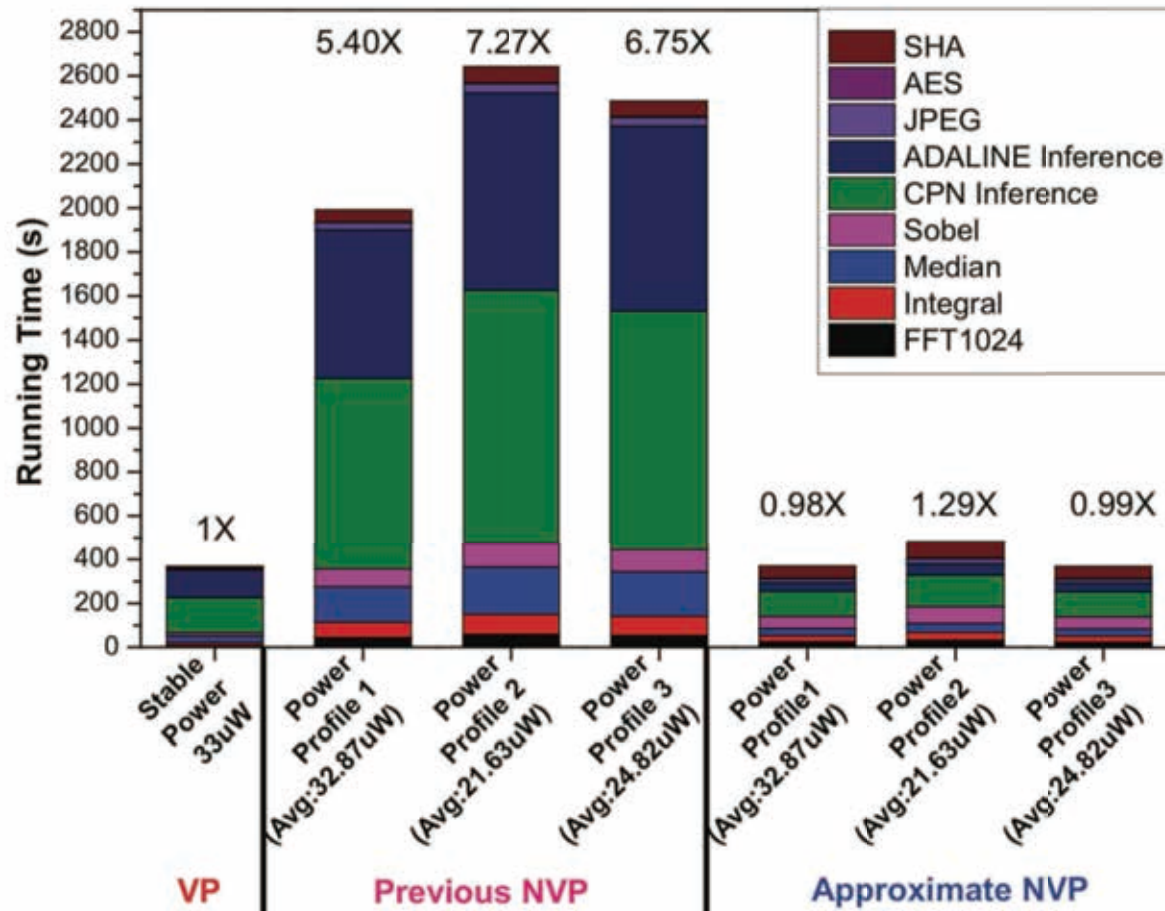


Average 50% improvement.



❖ Source: Ma et al. MICRO 2017, Ma et al. NVMTS 2015

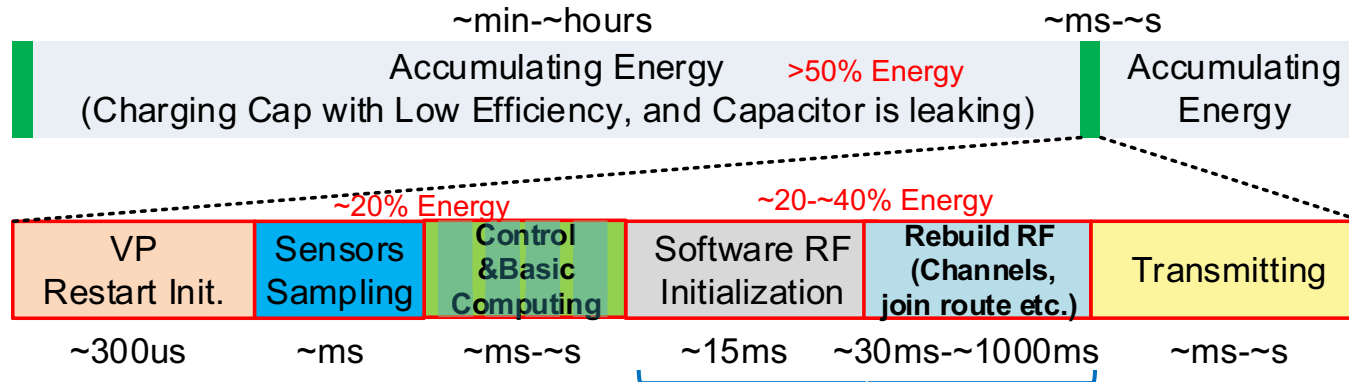
# Incidental Approximate Computing Results



Approximate NVPs are able to buy back much of what was lost due to power instability with approximation efficiency.



# Content



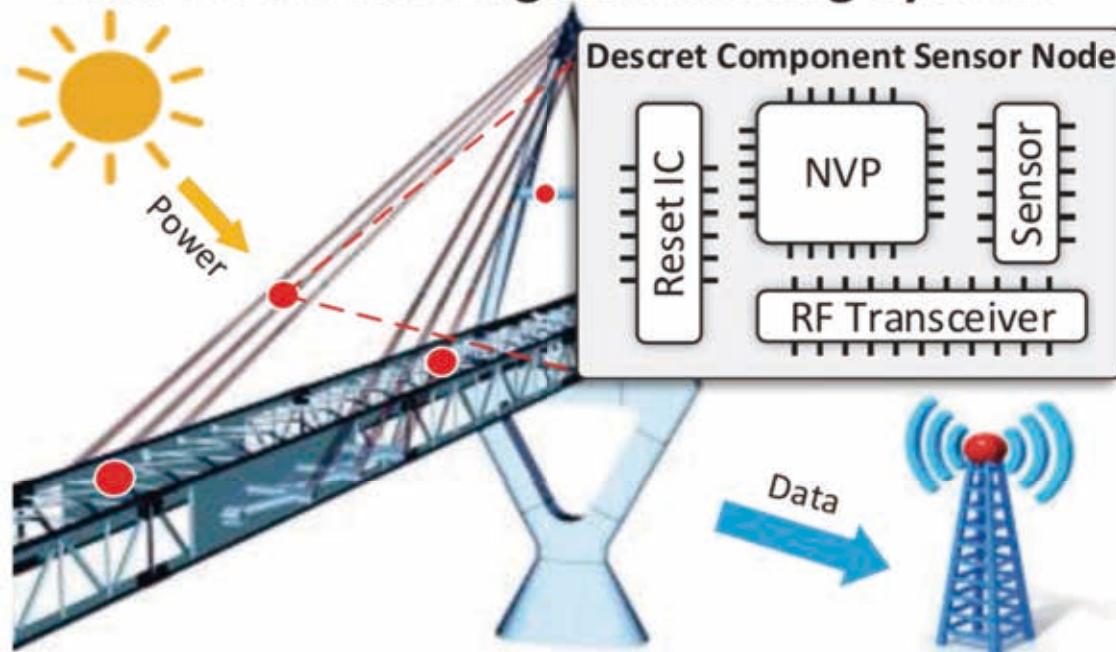
- Motivation and Background
- Nonvolatile Processor Architecture Exploration
  - Node Level Optimization - from normally-off to frequently-intermittent-on
- Dynamic Intelligent Frequency and Resource Allocation
  - Node Level Optimization for efficiency
- Incidental Approximate Computing
  - QoS Level Optimization
- **NEOFog: Nonvolatility-Exploiting Optimization in Fog Computing**
  - System Level Optimization - How nonvolatility changes the system
- Conclusion



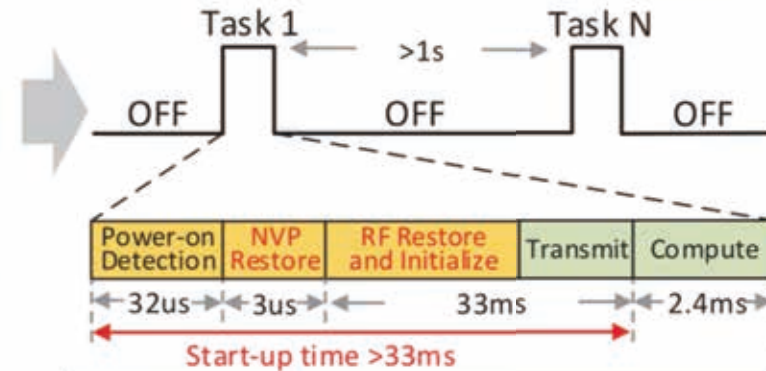


# NEOFog - Background of NVRF

## Solar-Powered Bridge Monitoring System



## Working pattern: normally-OFF, rarely-ON



### Challenge

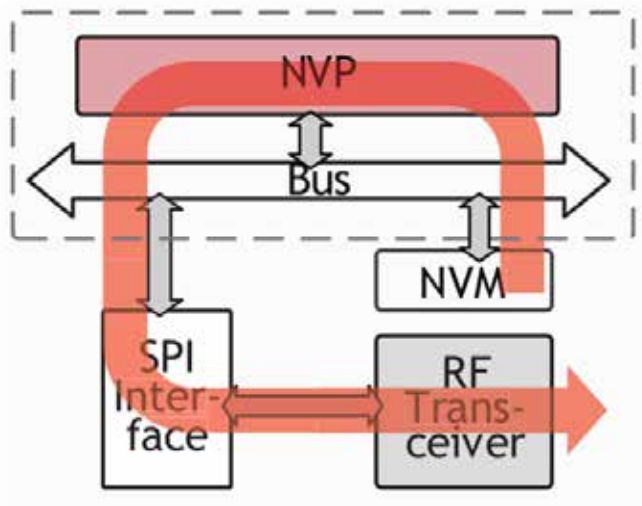
1. NVP and RF need **sequential** restore
2. **Start-up** occupies 93% of power-on time





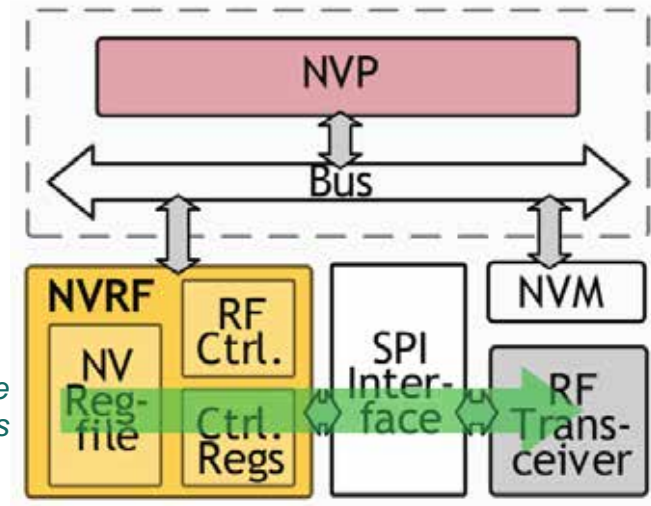
# Nonvolatile Radio Frequency Controllers (NVRF)

## Software RF based Architecture



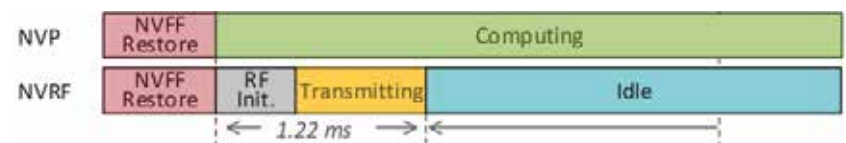
Long and Slow Data Path

## NVRF based Architecture



Hardware Access

Direct and Fast RF Configuration

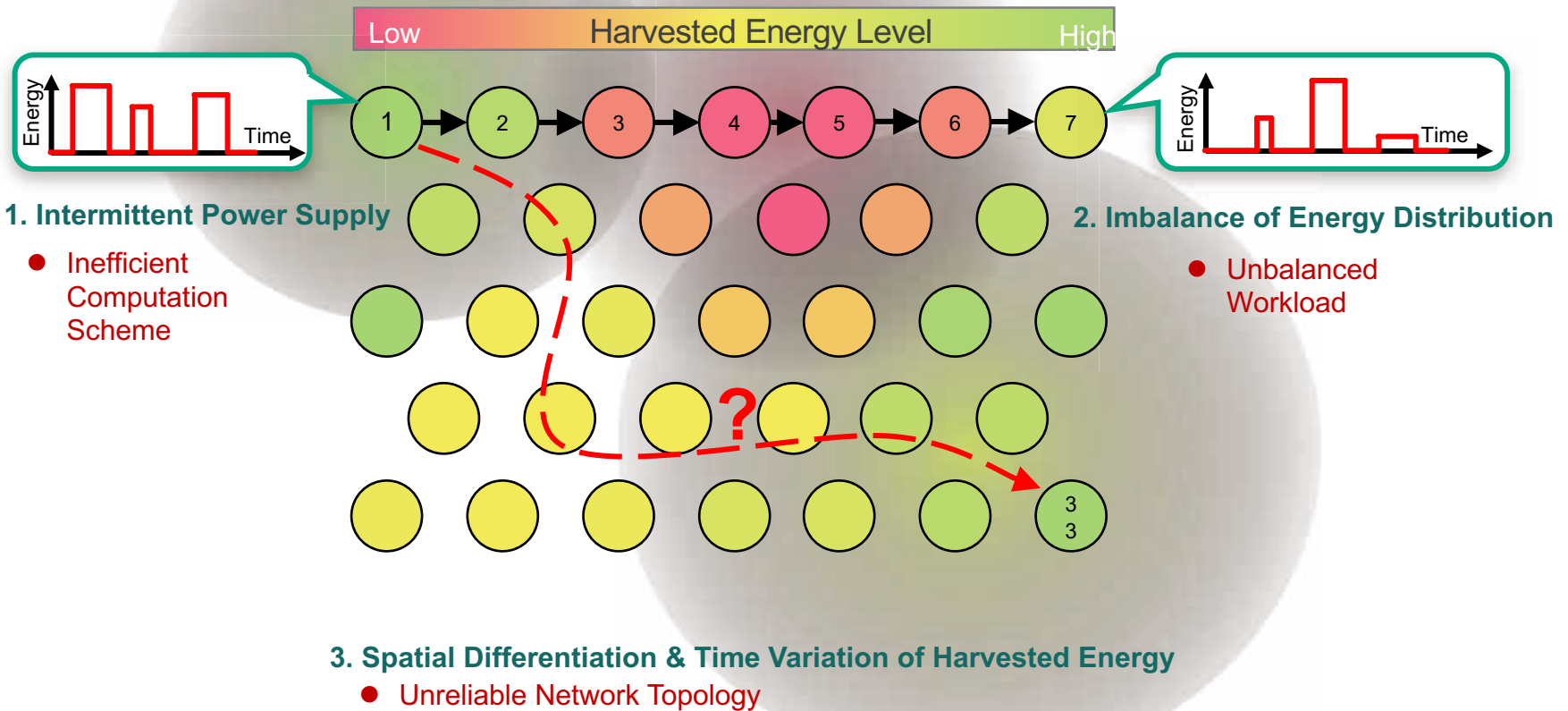


**27x** faster NVRF wakeup



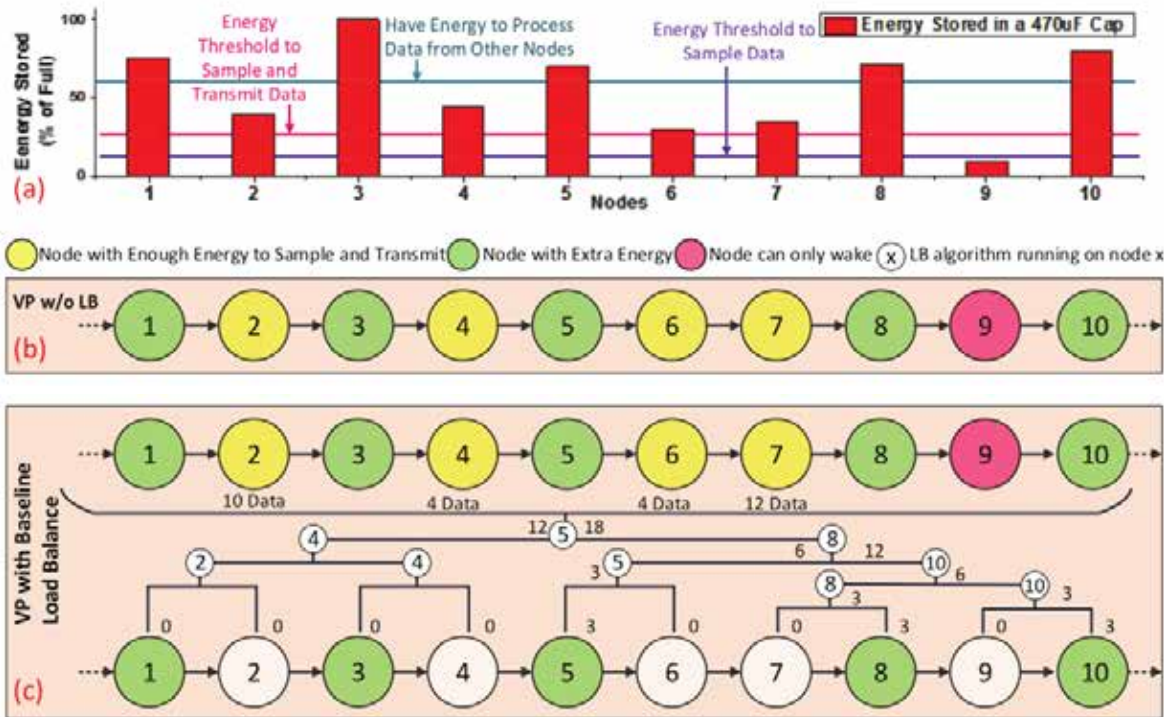
# Challenges and Opportunities in Energy Harvesting WSNs

## Challenges of energy harvesting WSNs



# Intra-chain Level - Load Balancing in FIOS

## Traditional top-down multi-level tree load balancing algorithm



### Purpose:

Make the nodes that harvest ample energy do more computation than nodes with limited energy

### Principle:

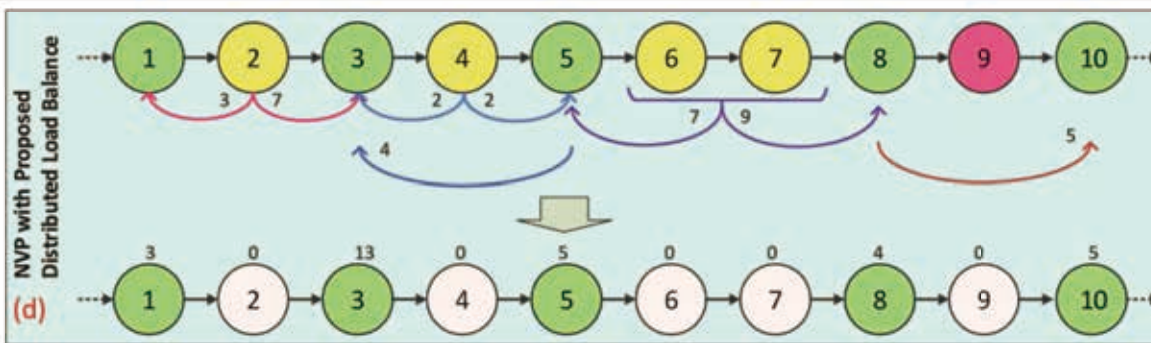
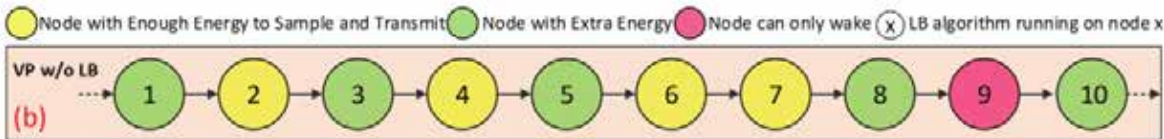
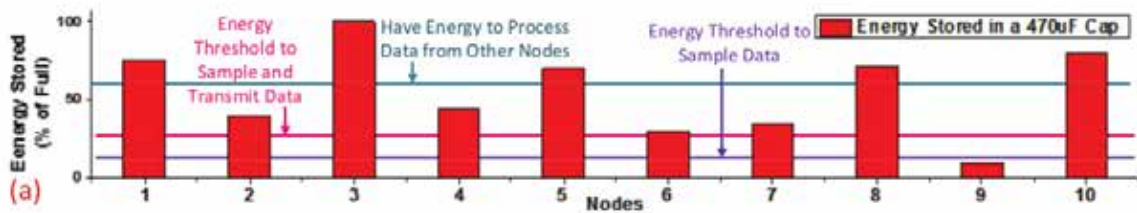
allocate the tasks to the most efficient rather than inefficient nodes

May not fully alleviate energy imbalances



# Intra-chain Level - Load Balancing in FIOS

## Proposed Distributed load balance algorithm



*Available energy & NVP configuration* are shared with nearby nodes

INPUT:

*Time cost*

if n task running on the left nodes

$\langle a_1, a_2, \dots, a_n \rangle$

if n task running on the right nodes

$\langle b_1, b_2, \dots, b_n \rangle$

**MAXTIME:**

load balance call interval

OUTPUT:

*Assignment result*

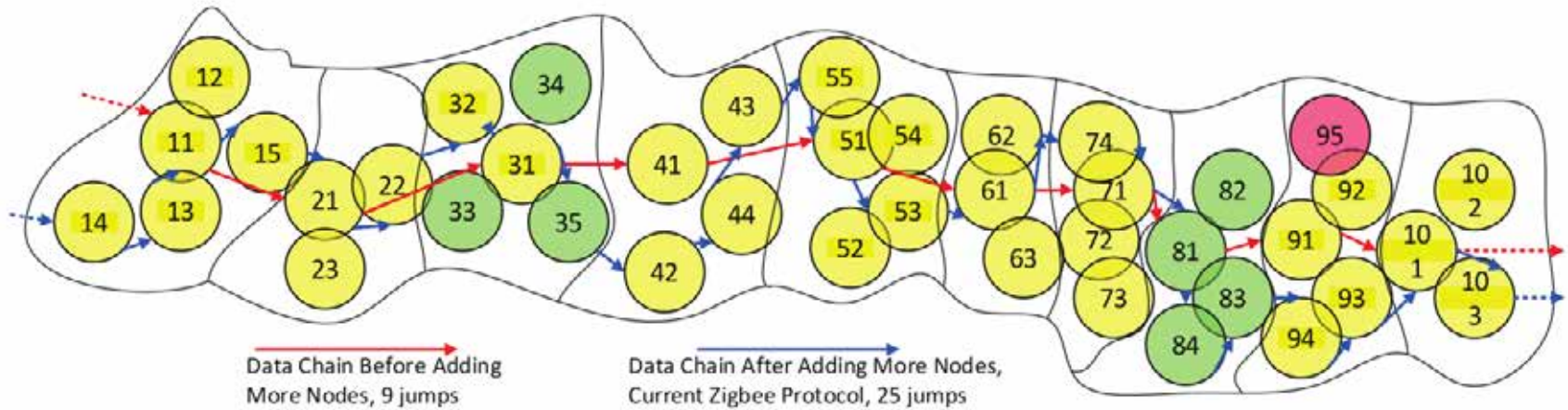
Assign task to left or right ?

$\langle o_1, o_2, \dots, o_n \rangle$



# Inter-chain Level - Node Virtualization for Enhanced QoS (NVD4Q)

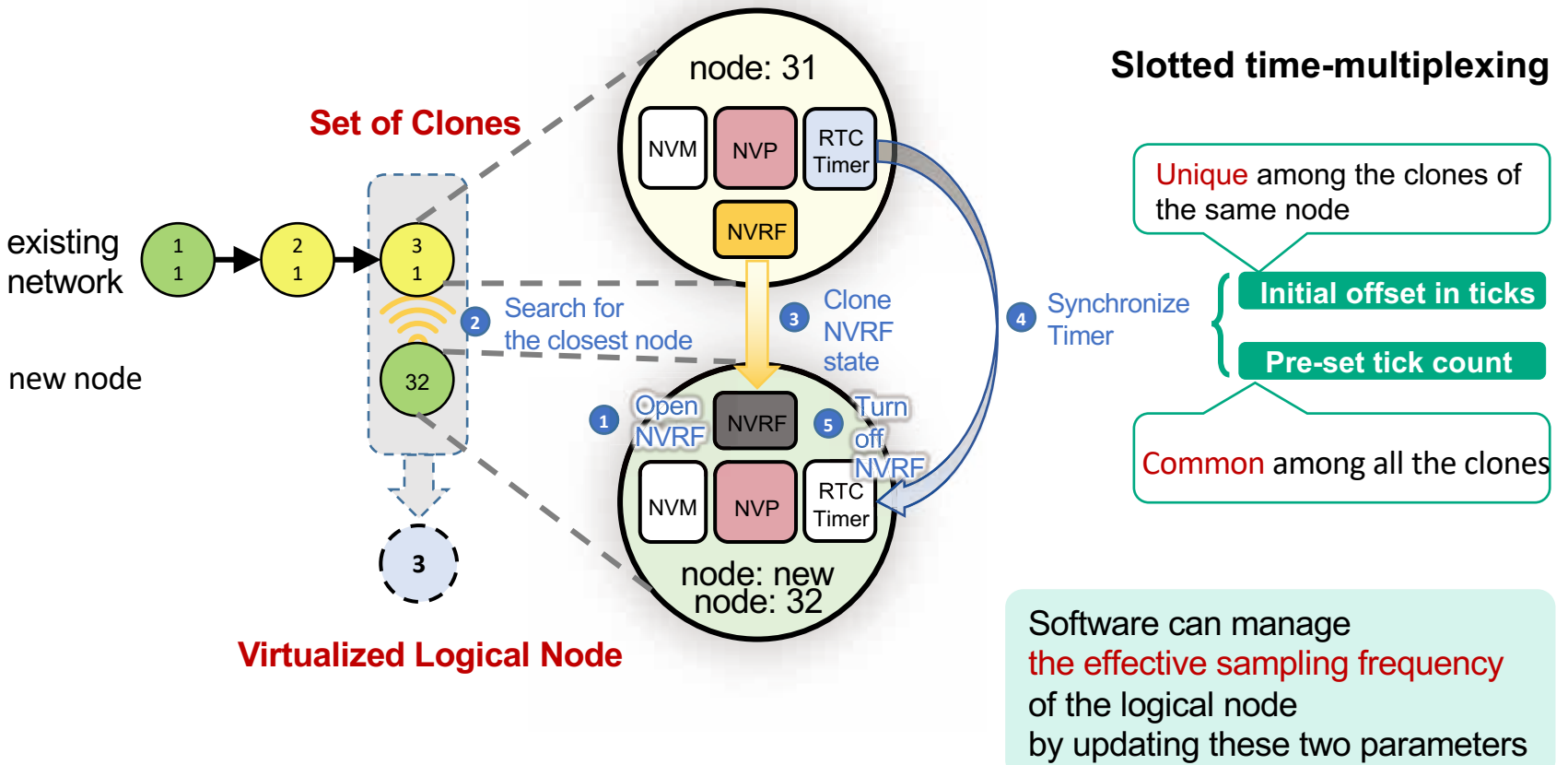
## Naive density increase does not boost Zigbee QoS





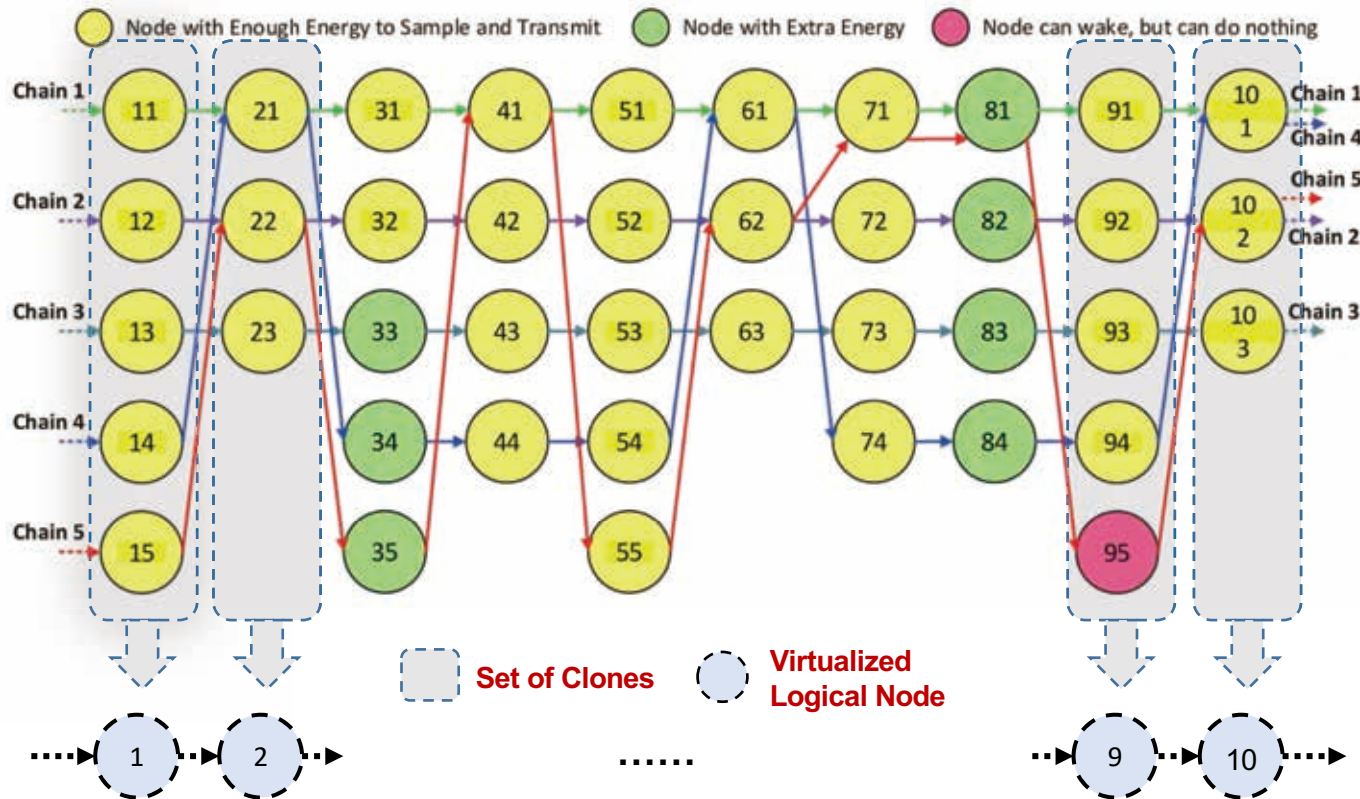
# Inter-chain Level - Node Virtualization for Enhanced QoS (NVD4Q)

## Slotted time-multiplexing node virtualization for QoS algorithm (NVD4Q)



# Inter-chain Level - Node Virtualization for Enhanced QoS (NVD4Q)

## NVD4Q Node virtualization for QoS expected effects



Nodes with a common phase compose one chain

Only one chain wake up at each wake-up period

From the network's perspective, the network structure and information does not change during power off period

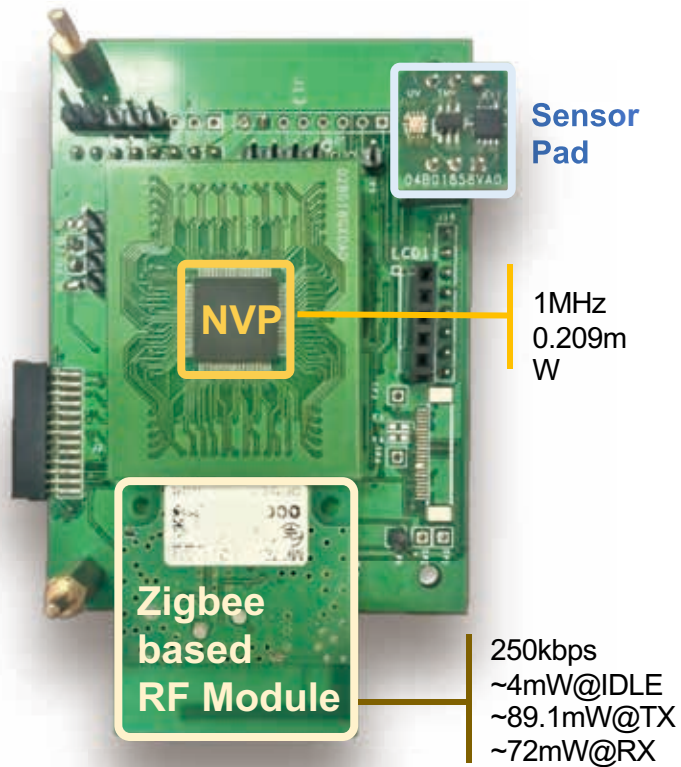
No reconstruction penalty



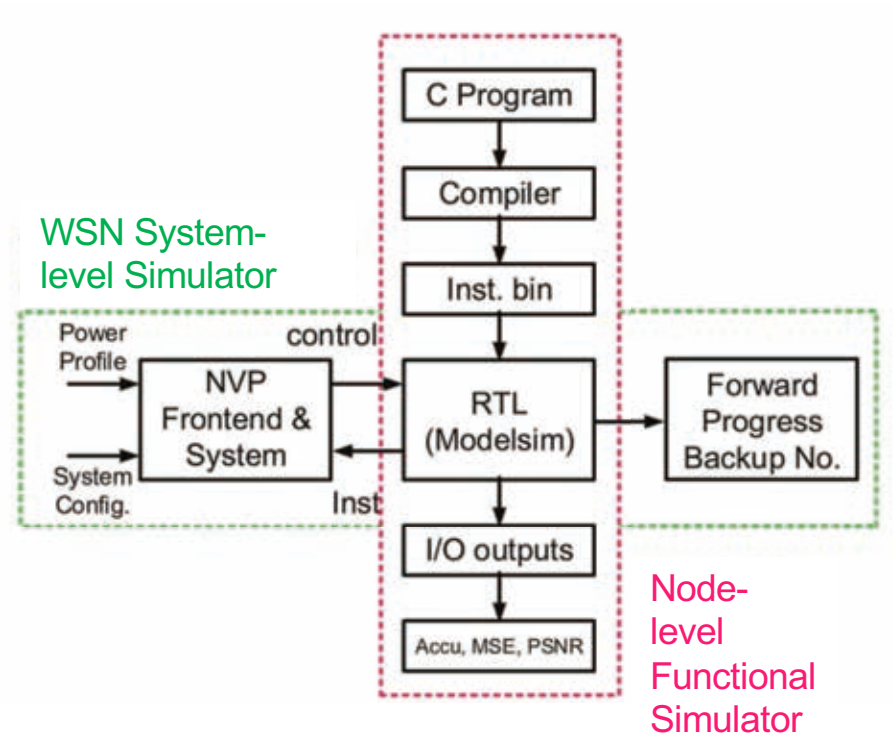


# Simulation Methodology

## NVP-based WSN prototype platform

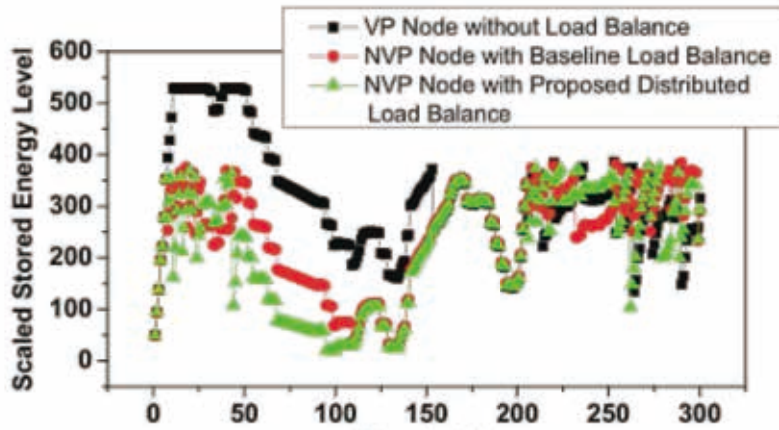


## Simulation framework

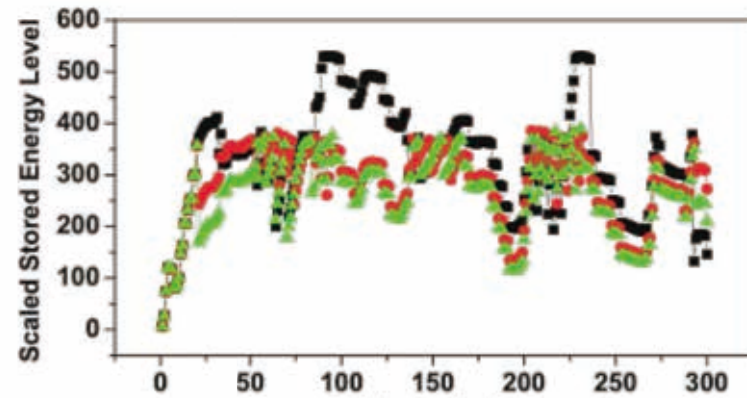


# Performance of Distributed Load Balancing

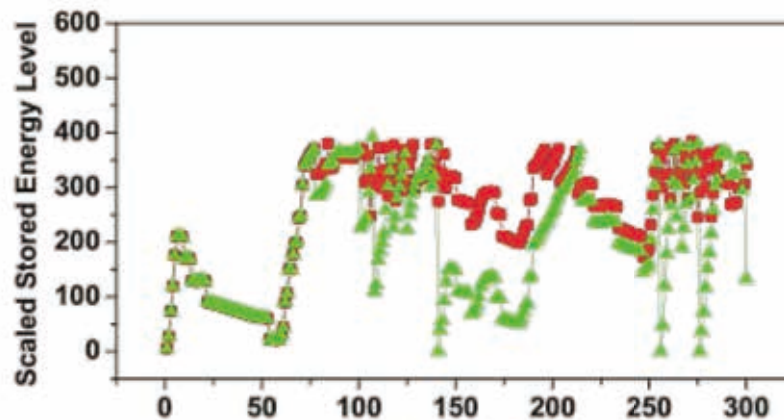
## Stored energy level of 3 consecutive nodes in a chain



(a), Node 1



(b), Node 2



(c), Node 3



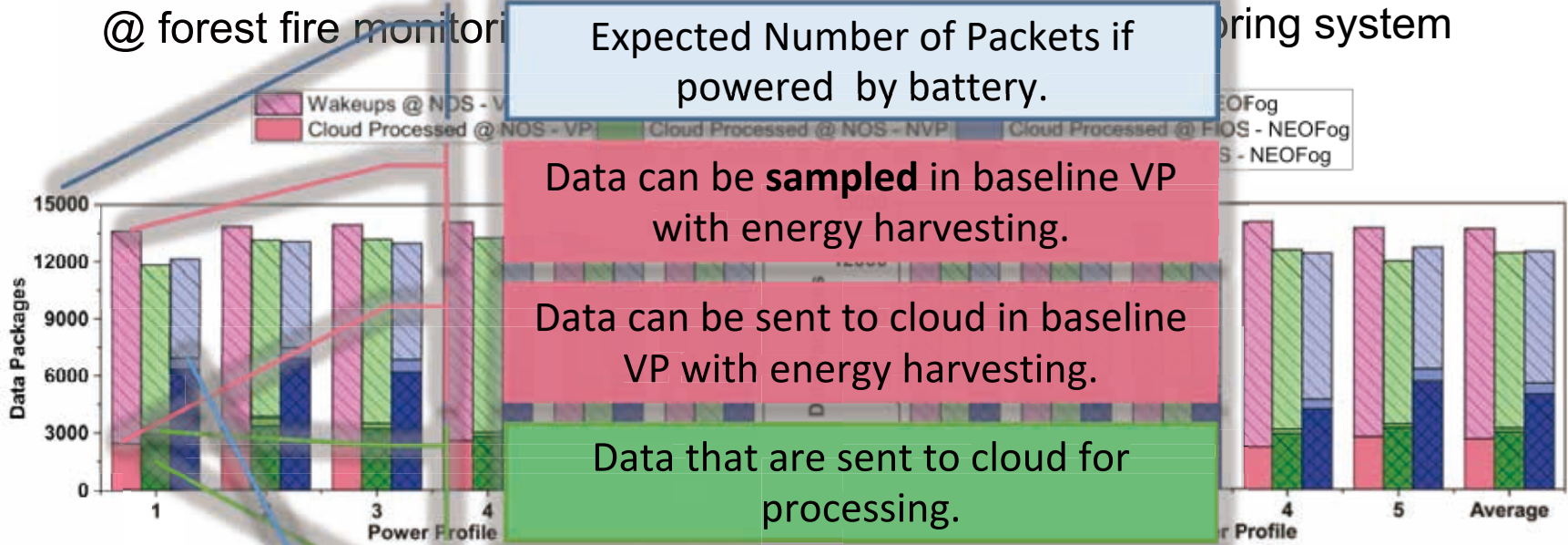
# Performance of Distributed Load Balancing

## Independent Power Income

@ forest fire monitoring system

## Dependent Power Income

@ forest fire monitoring system



Expected Number of Packets if powered by battery.

Data can be **sampled** in baseline VP with energy harvesting.

Data can be sent to cloud in baseline VP with energy harvesting.

Data that are sent to cloud for processing.

Data that are locally processed and results are sent.

Total Data that are locally processed & sent to cloud in proposed solution.

Average gains of FIOS

**2.8X** than NOS-VP

**2.0X** than NOS-NVP

FIOS-NEOFog:

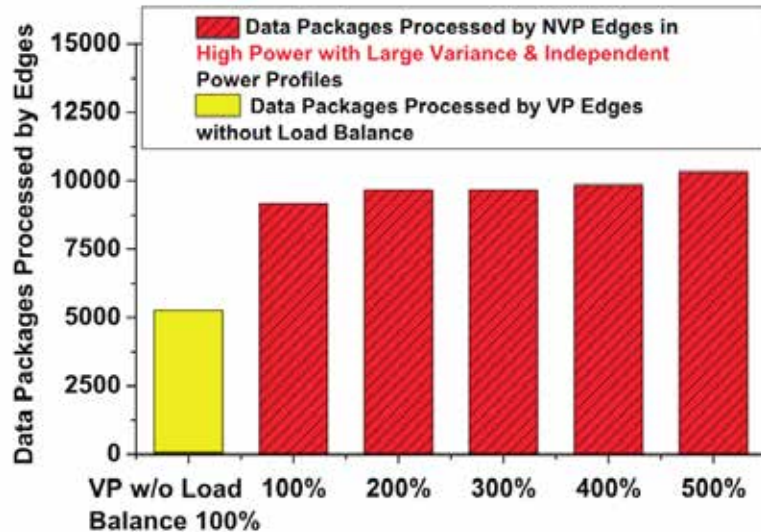
NOS-VP

NOS-NVP

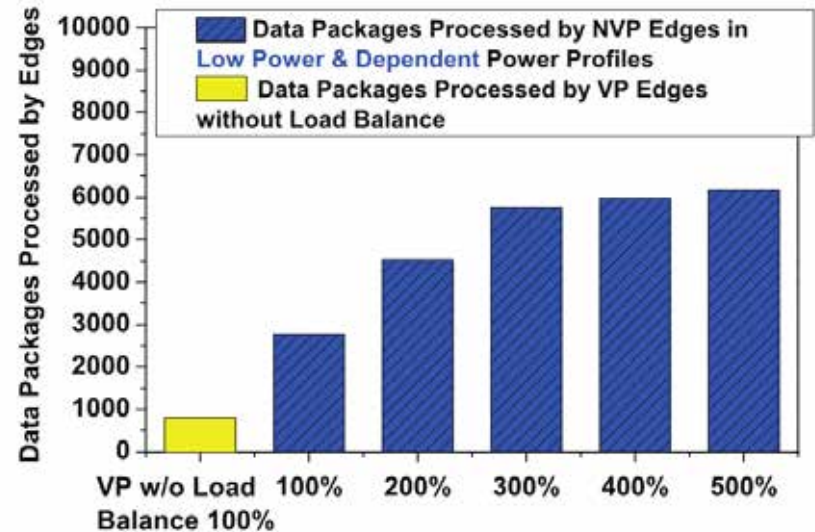


# Performance of NVD4Q (Virtualization for QoS)

Increasing node multiplexing in  
**High power with large independent variance**



Increasing node multiplexing in  
**Low power with large dependent variance**



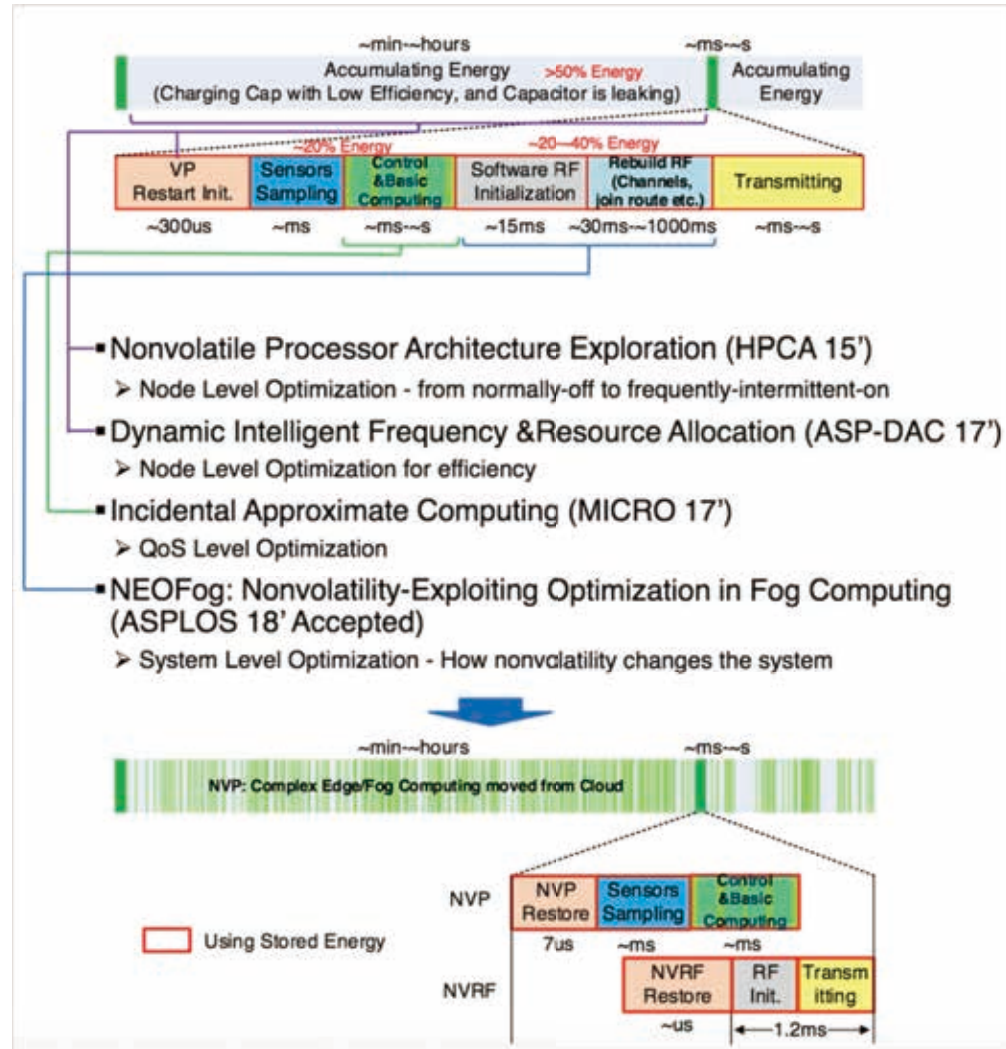
Collectively, these optimizations increase fog-offload capabilities by **4.2X** at baseline deployment node count and up to **8X** at **3X** multiplexing





# Conclusion

- Integrating nonvolatility into nodes changes the system from normally-off to frequently-intermittent-on, improving **2.2-5X** performance improvement
- With dynamic intelligent frequency and resource allocation further provides **2.1X** performance boost
- Incidental Approximate Computing trades off between progress and quality and is **4.3X** faster than precious NVP
- NEOFog provides System Level Optimization - **2.1X** local processed data, and another 2X with 3X increase in density.
- From fog node level to system level, a holistic nonvolatile system is explored and optimized. I believe these technologies can be an enabler for energy harvesting applications. The fog computing time for IoT is coming!



# Kaisheng's Timeline - This is my Ph.D.

- Passed Candidacy Exam
- Begin NVP Project
- IEEE Micro Top Picks.
- Best Graduate Research Award in CSE PSU
- Reported as Cover Feature in NSF ASSIST Research Center Newsletter



- Graduated from PKU
- Enter MDL@PSU

- Best paper award in HPCA.
- IEEE Micro Special Issue.
- Discovery of impacts on system design(TECS).

- Best Paper Award ASP-DAC.
- MICRO Published
- ASPLOS Published
- IEEE Micro Special Issue on Approximate Computing

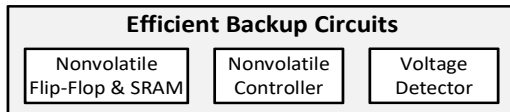


36 Publications(18 first author) , 6 Patents  
377 Citations until March, 2018

❖ In all the bulleted item, Kaisheng is the first author, and leads the work.

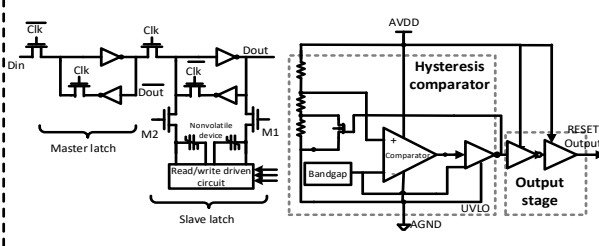
# A Holistic Exploring Approach - Thanks All the Collaborators

## Circuit Level

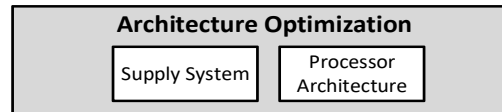


Yongpan Liu @ Tsinghua Univ. LEAST Project  
Suman Datta @ ND. Marvin Zhang @ Taiwan Tsinghua Univ.

### NVP Circuits

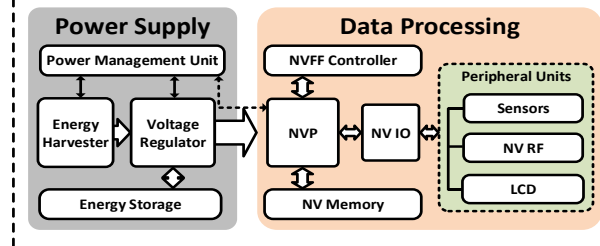


## Architecture Level

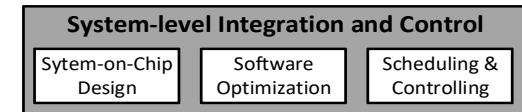


Vijay N., Jack S. Mahmut K.  
Penn State University

### NVP Architecture

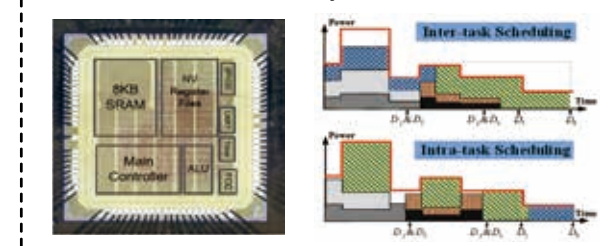


## System Level



ASSIT Project, City University of Hong Kong,  
China Normal Univ. Shandong Univ. etc.

### NVP System





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# Thanks !

## Q&A

“People Judge you not from your where you are from,  
but from what you do, and how well you are doing it” – Vijay. N., 2015

